Forecasting infrastructure resilience to climate change

This item was submitted to Loughborough University's Institutional Repository by the/an author.

Citation: DIJKSTRA, T. ... et al, 2014. Forecasting infrastructure resilience to climate change. Proceedings of the ICE - Transport, 167 (5), pp.269-280.

Metadata Record: https://dspace.lboro.ac.uk/2134/17269

Version: Published

Publisher: © ICE Publishing

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Forecasting infrastructure resilience to climate change

1. Introduction

The potential consequences of climate change on UK society and its transport infrastructure are subject to much debate (e.g. Cabinet Office, 2011; Chapman, 2007; CILT UK, 2011; ICE, 2010; Jaroszweski et al., 2010; Thornton et al., 2010; URS, 2010). In support of this debate, the Engineering and Physical Sciences Research Council (EPSRC) provided funding for the establishment of the Futurenet project as part of its portfolio of research-led projects within the Adaptation and Resilience to Climate Change (ARCC) programme (www.ukcip-arcc.org.uk). Futurenet was tasked to determine a model architecture for the quantification of UK transport infrastructure network resilience in the 2050s at a range of spatial scales. The project approached this problem from a user perspective, expressing resilience as the imbalance between the physical condition of segments of the network and the transport demands the segments will experience in the 2050s. The Futurenet project comprised a multi-partner, multi-disciplinary team that addressed a range of integrated investigations, including user behaviour surveys (Ryley and Chapman, 2012), projections of future travel demand (Berkhout et al., 2002; Goulden and Dingwall, 2012), the influences of weather on travel behaviour (Bouch et al., 2011, 2012) and the assessment of the effects of physical processes on asset condition as addressed in this paper.

It is widely recognised that climate change (Table 1) presents very...
serious risks and that warming trends are stronger than earlier forecasts suggested (IPCC, 2007; Rowlands et al., 2012). It is clear that appropriate adaptation strategies for infrastructure need to be developed as the benefits of proactive intervention considerably outweigh the costs of remediation following failure (Glendinning et al., 2009; Jenkins et al., 2009; Jones et al., 2009; Murphy et al., 2009; RSSB, 2004, 2005; Stern, 2007). The UK has a strategic road transport network (motorways and trunk roads) covering more than 13,000 km with some 400,000 km of other public roads (Figure 1). The UK rail network spans some 15,000 km (Defra, 2011; HM Treasury, 2011). Some two-thirds of the UK transport infrastructure network is supported by or adjacent to engineered slopes such as embankments and cuttings (Perry et al., 2001, 2003; Wilks et al., 2012). Existing infrastructure was constructed under a past climate and railway infrastructure in particular is affected by aged assets (120 years or more) and constructed to standards that are very different from present practice (Loveridge et al., 2010; O’Brien, 2007). Maintaining these assets is costly. For example, Network Rail invested some £70 million on preventative works to stabilise at-risk earthworks in 2007/2008 (RAIB, 2008). It is therefore cost-effective to develop tools to enable asset managers to prioritise better where sections of the network require investments to maintain resilience.

At present, most climate impact studies for the infrastructure sector are based on narrative development and empirical assessments (e.g. Koetse and Rietveld, 2009). Broad expert elicitation processes form very useful fora for capturing the detailed narratives that set out a comprehensive framework for addressing potential climate change impacts on key infrastructure assets in the UK (e.g. energy, transport, water) (URS, 2010). In a conceptual framework for strategic decision-making, these suites of narratives form very useful tools. However, it is now required to analyse changes in the condition of these assets in greater detail and to communicate more effectively the spatial and temporal distribution and forecasted severity of climate-dependent hazards, such as flooding, landsliding, swell/shrink and railway buckling. Futurenet therefore responds to the argument that physical process representation for the determination of the infrastructure asset condition in a dynamic environment requires a shift in focus towards quantitative modelling (Dijkstra and Dixon, 2010). The Futurenet project is among the first to respond to a need to put long-term forecasting of infrastructure network resilience in a quantitative framework, where physical-based

<table>
<thead>
<tr>
<th>2050s (2040–2069)</th>
<th>Description</th>
<th>Projected change of baseline values 1961–1990</th>
</tr>
</thead>
<tbody>
<tr>
<td>Precipitation</td>
<td>Mean winter</td>
<td>−10% to +30%</td>
</tr>
<tr>
<td></td>
<td>Mean summer</td>
<td>−20% to no change</td>
</tr>
<tr>
<td>Temperature</td>
<td>Mean winter</td>
<td>+1.0°C to +3.0°C</td>
</tr>
<tr>
<td></td>
<td>Mean summer</td>
<td>+1.0°C to +3.0°C</td>
</tr>
<tr>
<td></td>
<td>Warmest day, summer</td>
<td>+0.0°C to +4.0°C</td>
</tr>
</tbody>
</table>

Table 1. Summary data of forecasted change in temperature and precipitation compared to the 1961–1990 baseline for the 30-year static output centred on the 2050s based on the medium emissions scenario (Jenkins et al., 2009)

**Figure 1.** The major UK transport infrastructure network (road, rail, airports) and the Futurenet corridor; contains Ordnance Survey data © Crown Copyright and database rights 2013
process models are driven by high-resolution weather data. This paper describes the Futurenet model architecture and presents the physical condition and capacity reduction approach. This is illustrated using example outputs from the model and leads to a discussion of how uncertainties could be reduced and model performance may be improved.

2. The Futurenet architecture

The development of the Futurenet model architecture was carried out with the following three sets of viewpoints in mind.

- Those of the policy maker, who needs to be able to make long-term strategic choices, for example those associated with prioritisation of long-term investments in infrastructure planning.
- Those of the infrastructure manager, who requires detailed assessments of local impacts on specific infrastructure for different weather events.
- Those of the traveller, who is interested in an improved understanding of, for example, the time taken to travel a particular route on a specific day, and the assessment of delays associated with a reduced resilience due to adverse weather conditions.

Communication of Futurenet outcomes thus requires different levels of detail (see Figure 2). At the site-specific highest resolution, process modelling takes place that can be used to provide information on the heterogeneity in the process-response system to site managers, planners and maintenance groups. Changes in condition of the asset are influenced by individual processes or interactions between multiple physical processes. Aggregation of information will enable the creation of outputs that are relevant to segments of the infrastructure corridor between nodes. Nodes are locations on the network where deviations from a particular route are possible. In the motorway environment this is dependent upon, for example, major junctions or breaks in the central reservation that emergency/maintenance services could potentially use to direct traffic onto another functioning carriageway. For rail, these nodes are locations where rolling stock can change tracks. It is argued that if something occurs anywhere between the nodes, the whole segment is affected and thus the weakest component determines the functioning of the segment. Further aggregation of information can involve averaging multi-segment stretches into strategic units that can be determined on a regional or even national basis (for example the M1 motorway unit through Leicestershire, or the rail and road corridor from London to Glasgow). The expression of changes in aggregated physical condition for these units, and combinations of road and rail routes, can provide important information to support strategic decision-making on a regional/national scale.

2.1 Conceptual framework

The Futurenet model architecture is structured around a general framework that conceptualises the basic steps that are required to quantify the resilience of a portion of the infrastructure network that a user needs to engage with. This is discussed in some detail by Bouch et al. (2011, 2012) and is briefly addressed here for clarity using Figure 3 as a guide. A user intends to set out at a particular time on a journey along a particular route that comprises $N$ segments (a section between two nodes, or an aggregation of more detailed information). This constitutes a travel scenario for which all the variables are defined. The journey will take place some time in the future (e.g. 2050) and climate forecasts will need to be determined. Similarly, this user will travel in an environment where a certain population of other users will interact on the network (this is based on a snapshot of the future derived from futures-based user demand forecasts). The type of user under consideration will be subject to a series of thresholds that are user-specific. These could be split into

- serviceability limit states (SLs) – delays that are inconvenient but where the destination can be reached within acceptable timeframes

![Figure 2. Communication framework for the Futurenet architecture. Model performance needs to satisfy the greatest possible detail. Through aggregation of information, different levels of communication can be derived that will be better suited to different user groups](image)
The Futurenet project considers that resilience represents the ability to provide and maintain an acceptable level of (environmental, economic and social) service in the face of challenges to normal operation (see also Rogers et al. (2012)). This resilience is driven by the imbalance between the physical condition, and hence capacity, and the demand for a particular unit (a location, a segment or an aggregation of segments) of the transport infrastructure network. Recovery from a loss of resilience can be the result of a fall in demand or a reduction in intensity of the adverse consequences of weather events that affect the physical capacity. Further limit states can be defined, relevant to this imbalance. For the narrative of this project, two SLSs (SLS1 and SLS2) and one ULS are used as conceptual examples to designate the zones in which the network functions. Below SLS1, the network functions without any problems. Between SLS1 and SLS2 it is functioning at acceptable levels. Between SLS2 and ULS the network becomes increasingly stressed and it finally fails on or above the ULS.

It should be noted that, in this project, demand or trip assignment for each segment is not specifically modelled by way of a traditional transport model (although this could be incorporated in the architecture in future). Instead, diurnal demand fluctuations (at hourly intervals) reflect forecasted demands in 2050 and these are linked to the modelled physical state for a segment of a route. ULS failure could be the result of closure of a segment due to a comprehensive loss of physical infrastructure (demand in this case is irrelevant). However, a complete loss of functionality of the transport segment can also occur when there is a moderate reduction in physical capacity at a time when there is a (projected) high demand. The same reduction in physical capacity at low demand will have a much smaller effect on serviceability. It is recognised that much more complex interactions between physical capacity and projected demand can be evaluated and modelled, but these fall outside the current scope of this research.

The concept of resilience can be illustrated in different ways. In Figure 4(a), capacity and demand are shown as a downscaled 24 h snapshot of a future year (e.g. the Futurenet target year 2050) with capacity reductions determined by physical process models responding to hourly weather event inputs and transport demand based on hourly fluctuations informed by narratives of
socio-economic futures. The asset is represented by the box, the height of which reflects its full potential. This potential can be compromised by a reduction in physical capacity or by fluctuations in demand. The former is represented by the downward propagation of the shaded area and the latter by upward propagation. The white space in between provides an indication of resilience. Narrowing of the white space indicates loss of resilience and transgression of serviceability states. Overlapping light grey and dark grey bars indicate total failure, where the ULS is exceeded. This information was used to determine the relationship between physical capacity supply and traffic demand, illustrated in Figure 4(b). This allows representation of the 24 h pathway of resilience for a particular segment as a series of hourly vectors, illustrating the fluctuations of resilience and enabling delay assessments to be determined.

2.2 Physical process identification

The infrastructure network is a complex system with an in-built spatial and temporal heterogeneity that makes it very difficult to capture process fluctuations that can impact on overall network performance. It comprises anything from the natural environment adjacent to the transport infrastructure asset (e.g. rivers and slopes) to the engineered assets (e.g. earthworks, drainage, road surfaces, railway track, signalling, gantries, bridges and tunnels) that form an effective transport system. The physical assets are therefore multi-faceted in their own right, but are also placed in a corridor where the adjacent environment and impact potential is determined by the spatial relevance of individual physical processes, creating multiple boundaries ranging from the relatively confined swell/shrink behaviour of earthwork embankments to the broad, catchment-based assessments of the potential
consequences of fluvial flooding. This is illustrated in Figure 5, as follows.

- 1: A road positioned along the base of a slope can be affected by excess runoff/erosion, determined largely by upslope length and land use.
- 2: A road positioned on high ground/top of a slope is likely to be exposed to high winds (e.g. Quinn and Baker, 2010).
- 3 (cuttings) and 4 (embankments): Engineered structures with variable conditions dependent upon age and position in the landscape and geological materials in or on which these are constructed, affecting, for example, swell/shrink processes (Loveridge et al., 2010; O’Brien, 2007; Take and Bolton, 2004).
- 5: Position of infrastructure assets in a floodplain environment requires assessment of flood risk that needs analysis of whole-catchment dynamics (e.g. Christierson et al., 2012).
- 6: Slope stability assessment is still an area where local conditions determining time and place of failure are only possible to model in exceptional circumstances, although capabilities to fine-tune modelling of the propensity of failure are progressing.
- 7: Scour of support structures in dynamic landscapes such as river corridors requires further research and, most importantly, better asset condition information (Roca and Whitehouse, 2012).

2.3 Multi-process model development and weather event sequences (WESQs)

The hierarchy of models that determine the segment physical capacity shown in Figure 3 can be represented by a simplified cascade (Figure 6) that formally links the following.

- Probabilistic climate input components, including WESQs, involving characterisations of hourly inputs of precipitation and temperature using duration, intensity and quantity.
- Physical process manifestations, constrained by topographical, ground and asset conditions, and responding to WESQs. These include: precipitation affecting pluvial, fluvial and internal hydrology characterised by volume or depth, pressure and flow of water; or temperature affecting air temperature and materials temperature and characterised by intensity, flux and freeze/thaw boundary transgressions.
- Probabilistic outcomes, both in terms of process events and user consequences (as determined by the process environment including skid resistance, vision and ride quality). These events are subject to SLS and ULS providing a threshold constraint for resilience evaluation.

2.3.1 Probabilistic climate inputs

The UK Climate Projections 2009 (UKCP09) weather generator provides probabilistic outputs that make it difficult to evaluate these process interactions. At present there is insufficient knowledge available to be able to determine the synergies of process interactions on a probabilistic basis. It was therefore decided to extract a number of WESQs from the ensemble outputs of the weather generator using the high-emissions scenario outputs from UKCP09 centred on the 2050s. This ensemble output comprises 100 runs of a 30-year period (i.e. 3000 sets of annual WESQs) (Jones et al., 2009), which provides a set of weather years against which the model performance of the Futurenet architecture could be developed. The WESQs form the main driver of a basic cascade that, through a series of logical steps, enables one to determine the influence of physical processes on capacity (the infrastructure asset condition in a specific place and time along

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**Figure 5.** Conceptual diagram illustrating the complexities of infrastructure asset placement in the landscape

**Figure 6.** Physical process model cascade represents the links between probabilistic weather event sequence inputs and the process/user consequence outcomes. Within the process manifestation box, a complex hierarchy of different physical processes can be run either individually or in various combinations
the network). Each WESQ is taken from the weather generator output and has a specific probability of occurrence that, in turn, affects the probability of the resultant outcome events. The detailed rationale underpinning this use of WESQs falls outside the remit of this paper.

### 2.3.2 Physical process manifestations

Physical process manifestations are constrained by a suite of conditioning parameters. The ‘infrastructure condition’ represents an additional layer of complexity and includes assessments of the relative position in the planning/operation and maintenance cycle of the physical asset. It could also incorporate algorithms to develop the infrastructure over time to cope with increased demand arising from economic/population growth in a fashion concomitant with the opportunities offered by scenarios such as those based on the foresight futures (see e.g. Curry et al. (2006)). The ‘ground condition’ incorporates quantifiable parameters characterising landforms, hydrology and material properties. The ‘topographic condition’ provides a mechanism to identify the intensity of processes such as the convergence of surface water flow, adjacent slope length and angle, and relative position of the infrastructure asset in the landscape. Both antecedent and current conditions determine the magnitude of a physical process response at a particular site and combined effects of simultaneous occurrences of different physical processes can only be analysed consistently if the same sets of WESQs are used for all physical processes.

Important factors to be considered when interpreting these physical process manifestations include the following.

- **Cascade failures** – these occur where exceeding a threshold of one particular process triggers a threshold of another process (e.g. scour leading to a landslide, resulting in road closure).
- **Regional interdependencies** – where occurrences in one region determine the conditions in another, including fluvial flooding at key transport locations and other disperse effects of local hazards.
- **Synergies** – where the effects of combined occurrences of processes are greater than the sum of these individually, including flooding combined with slides.
- **Magnitude and frequencies**, or system response versus system recovery. The timing of events needs to be considered in the context of the recovery of the system and if the frequency of occurrence of critical (weather) events is greater than recovery of the asset condition this may result in prolonged system instability (Dijkstra and Dixon, 2010).

### 2.3.3 Process outcomes and user consequences

The physical process outcomes are linked to the consequences for the user. The methodology initially assumes a single user (traveller), and follow-on work is required to cover the situation of multiple users. A user may be considered a ‘unit’ such as a car or truck or a train. Interactions between multiple users are thus dependent on behaviour analyses, capacity constraints and demand forecasts (e.g. Hooper and Chapman, 2012).

### 3. Physical condition and capacity reduction

The physical-based modelling process was tested in an area centred on Garstang, Lancashire, in northwest England, located on the Futurenet London–Glasgow corridor (Figure 1). For the purpose of this test, the transport infrastructure corridor is represented by points plotted at equal 50 m distances along the route (Figure 7). This provides the current maximum resolution where a sequence of points between two nodes (where diversion from a route is possible) constitutes a segment and where multiple segments form a journey. In the raster geographic information system (GIS) approach used, access to the model and the data is through these point locations. This point-based information is then extended to a 75 m diameter buffer zone, which is populated with data and provides the maximum resolution for running the physical-based process models constructed on the basis of a one-dimensional tank model.

The main transport infrastructure comprises the M6 motorway, the busiest section of road in this area, and the west coast main line rail route, which is the busiest mixed-rail route in Europe. The main segment nodes on the M6 are at junction 32 (north Preston), junction 33 (Lancaster University) and junction 34 (Lancaster); for rail, these are at the stations at Preston and Lancaster (Figure 7). This location was selected because of the proximity of railway and motorway infrastructure so both could be analysed in similar settings. Land use is predominantly agriculture with small, scattered villages and dwellings. Additional considerations included contrasting topography, geology and assets at each site, and a history of physical process impacts on the resilience of infrastructure segments in this region (see Wilks et al., 2012).

The transport corridor is crossed by a number of rivers from east to west. These include the River Wyre, River Calder and River Brock and incorporate a large catchment of other tributaries, which drain towards the west from the Pennines into the Irish Sea. Ground condition includes a mixture of bedrock comprising millstone grit group rocks and Sherwood sandstone group rocks overlain by superficial surface deposits of alluvium and tills. Engineered slopes along the network are generally constructed in, or using, these local superficial and bedrock materials and are thus quite heterogeneous. The climate in the study area is temperate and experiences mean temperatures of approximately 6°C and mean annual precipitation rates of 850 mm.

Spatial characteristics can be determined through identification of single points and areas, or by aggregating a number of points, where mean values can be calculated to determine a larger area representing a particular resilience. However, larger buffer zones are required for calculating effects on the process models when catchment characteristics are important, particularly when considering fluvial flooding and other regional hydrogeological influences. The GIS environment used provides ample flexibility to
incorporate large buffer zones if the physical-based models require this.

A road user travelling in 2050 would need a network resilience assessment based on a snapshot of the time period during which the user is planning to travel. However, an infrastructure asset manager who is planning to forecast resilience in 2050 would likely need to run the model over a longer period of time in order to spot the times and conditions when network resilience dips below limit state thresholds for a particular WESQ and a specific location. This is illustrated in Figure 8. A WESQ (02/29) representative of the 2050 high-emissions scenario has been lifted from the ensemble forecast from UKCP09 to form the main input into a simple tank model (in Figure 8 only precipitation is shown, but temperature is also used) for this location – an embankment slope characterised by a fine-grained, till-derived engineered material covered by low vegetation including grass and brush. The results provide outputs to a suite of other physical-based process models, such as surface deformation associated with slope instability or shrink/swell and carriageway water film thickness. The hydrological responses of the slope are indicated by fluctuations in the soil storage volume and the position of the groundwater table. The outcomes of each physical process are then translated into an associated capacity reduction factor (crf) normalised between values of 1 (no effect) and 0 (complete loss of asset function). These crf values can be used individually, or combined, to provide an indication of the changes in physical asset condition. In Figure 8, the physical condition of the slope is illustrated by three crfs – overland flow, slope deformation and shrink/swell. In addition, a weighted combined crf is shown that provides an insight into the overall reduction of physical condition of the asset at this location.

Individual location outcomes can be combined to enable the generation of a temporal snapshot of the transport infrastructure asset condition for a larger area, as shown in Figure 9. This can be used to illustrate how the network performs at the highest resolution and when these are aggregated into segments for three process examples (overland flow, drainage and shrink/swell potential). The impact of these physical processes can result in a low intensity, as illustrated in the overland flow diagram. Aggregation of the point information into segment performance results in a reduced condition, but still at, or around, serviceability limits. However, when one point in a segment performs really poorly, as is the case for the drainage example, the whole segment will be affected. This ultimately also affects how all
Figure 8. Example of the model performance for one location and one asset type along the transport corridor near Garstang; crf, capacity reduction factor.

Figure 9. Impact of individual physical process models on the condition of infrastructure assets at the highest resolution for the Futurenet study section shown in Figure 7; contains Ordnance Survey data © Crown Copyright and database rights 2013; NEXTMap Britain elevation data from Intermap Technologies.
individual capacity reductions are aggregated (these indicators do not reflect the current asset condition and are shown only for illustrative purposes).

4. Reducing uncertainties and improving model performance

It is now possible to represent combinations of ‘capacity’ and ‘demand’ for any particular time by a point cloud of coordinates \((u_1, u_2)\) obtained from multiple model runs. This concept is illustrated in Figure 10 where point clouds can be represented by ellipses that can be skewed in any direction and provide an insight into the degree of uncertainty associated with both variables (capacity and demand). The ellipses illustrate snapshots at 20-year intervals and the dimensions of these ellipses aim to represent characteristic fluctuations in physical capacity and demand. The height is determined by demand fluctuations and the width by uncertainties of the physical capacity of the network. It is possible to hindcast using historical conditions (asset and user) to understand better the physical-based model performance, and also to forecast to 2050, based on current process understanding. Based on present forecasting capabilities, the capacity–demand ellipse for 2050a will inevitably be very large (representing great uncertainty in the forecasts). However, as time progresses, this capability will continue to improve and, combined with proactive network resilience management, will likely result in a much better defined near-future forecast (as illustrated by the 2020 ellipse with a narrow physical capacity shape). In turn, this should lead to a much improved long-term forecast, 2050b.

![Network development](https://example.com/network-dev.png)

**Figure 10. Historical development of the transport network driven by rises in network demand (a function of futures and user behaviour) and physical capacity (a function of WESQs and physical processes)**

There is a need to continue to strive towards achieving a workable hierarchy of distributed conceptual models of acceptable complexities that are underpinned by a plausible physical basis and that return a reasonable correspondence with reality. As time progresses and the capability improves to model the physical processes in the natural and engineered landscape, new models can be inserted into the hierarchy, resulting in better performance and narrowing down of the uncertainties. However, this process requires significant investment to improve data availability and quality and to fine-tune physical-based process models using monitoring data from a wide range of sites. In turn, this will enable cost-effective, targeted and proactive interventions by asset managers to deliver a resilient network.

5. Conclusion

This paper describes the physical-based process model architecture of the Futurenet approach to infrastructure network resilience modelling in the 2050s. It provides an outline of the requirements to achieve a quantifiable approach to address changes in the physical condition (capacity) of components of the network at a range of scales (from sub-metre accuracy of individual processes to a network-wide resilience index). Although the remit of the Futurenet project specifically involved the analysis of conditions in 2050, the architecture that has been developed provides a generic modelling concept where any past or future WESQs can be used to drive physical-based process models. Thus, the concept can be used to analyse past performance using historical WESQs as inputs, enabling development, fine-tuning, calibration and ‘validation’ of the underlying physical-based process models. The framework can also be used to investigate the consequences of short-term weather forecasts on asset condition, enabling the establishment of more robust early warning systems. In addition, it can be used to evaluate the possible consequences of network resilience into the future using the 2050 UKCP09 downscaled weather forecasts, combined with forecasted user changes.

The present understanding of both physical process performance and future demand scenarios is still incomplete and carries large uncertainties. There is a need to continue developing the physical-based models that drive transport infrastructure condition assessments, and this requires the following.

- More detailed and better accessible datasets. Most datasets are currently affected by incomplete and missing data, limiting their usefulness for corridor-wide physical process modelling. It has become apparent that data across several agencies have become fragmented over time and this has highlighted a need for a transparent approach to asset data management and data accessibility to enable more detailed analysis of transport infrastructure asset conditions.
- Further investigation of climate forecasts (e.g. Kay and Jones, 2012) looking at higher resolution, spatial coherence and downsampling extremes.
- Improvements in modelling capability, including modelling platforms capable of managing large volumes of data.
generated by sophisticated, high-resolution physical-based models (e.g. Booth et al., 2013; Clarke et al., 2006; Davies et al., 2008; Rouainia et al., 2009; Smethurst et al., 2006, 2012).

- The development of detailed deterministic, physical-based models capable of dealing with dynamic equilibria and threshold exceedance.

- Further analyses of complex feedbacks and interactions between physical processes and user behaviour.

The Futurenet project approach to modelling physical processes impacting on the condition of infrastructural elements does not claim to have generated a complete suite of physical-based models to enable such an analysis at this stage. It should be recognised that this is an evolutionary process to produce a system that can be used reliably to provide process-response models at resolutions capable of forecasting infrastructural asset condition changes that will significantly influence management practices and the performance of the asset.

**Acknowledgements**

The authors are grateful to the Highways Agency and Network Rail for use of their data and to the EPSRC-funded (EP/G060770/1) Futurenet team for their continuing support. Tom Dijkstra and David Gunn publish with the permission of the Executive Director of BGS (NERC).

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