Delivering criticism through anecdotes in interaction

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Delivering criticism through anecdotes in interaction

Marco Pino


Abstract
Criticising someone’s conduct is a disaffiliative action that can attract recipient objections, particularly in the form of defensive detailing by which the recipient volunteers extenuating circumstances that undermine the criticism. In Therapeutic Community (TC) meetings for clients with drug addiction, support staff regularly criticise clients’ behaviours that violate therapeutic principles or norms of conduct. This study examines cases where, rather than criticising a client’s behaviour directly, TC staff members do so indirectly through an anecdote: a case illustrating the inappropriateness of the type of conduct of which the client’s behaviour is an instantiation. TC staff members design the anecdote to convey a principle or norm of conduct which the client has putatively violated, and they systematically pursue endorsement of that principle by the client. By constructing the anecdote as an exemplary case, distanced from the individual client’s personal experience, TC staff members make it an empirically unverifiable, self-evident, and therefore hard to challenge, illustration of a norm.

Keywords
Addiction, anecdote, conversation analysis, criticising, disaffiliation, exaggeration, Therapeutic Community

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Introduction

Research on language and social interaction has documented a preference for actions that promote social solidarity (Lindström and Sorjonen, 2013). Consistently through ordinary and institutional settings, people design their social actions in ways that promote affiliation, agreement and so on (Pomerantz and Heritage, 2013). However, everyday life is also punctuated by social occasions that require taking a negative position towards others, such as when bringing to others’ attention that they have acted inappropriately. A practical problem that members face in these cases is how to convey disaffiliation without disrupting or comprising their relationship with others. This problem is especially salient in professional-client interactions with a focus on correcting or modifying client behaviours for therapeutic or educational purposes (MacMartin and LeBaron, 2006). This study focuses on the case of Therapeutic Community (TC) group meetings for clients with drug or alcohol addiction. The TC rehabilitation approach has a focus on learning through living together within the residential space of the community, and a significant part of the therapeutic process involves fostering some kind of change (e.g. discontinuation, modification or substitution) in clients’ behaviours that are regarded as maladaptive, dysfunctional or simply inappropriate for the residential setting. TC staff members commonly initiate this process by conveying to their clients that some aspect of their conduct is or has been problematic – in this study I refer to this type of action as criticising a client behaviour.

Criticising someone’s conduct is a disaffiliative social action which can carry negative implications for some aspect of the recipient (their moral outlook, competence etc.). When TC staff members set out to criticise a client’s conduct, they regularly face two practical problems: How to secure agreement/acceptance? and How to pre-empt or manage emerging client disaffiliation? This study examines a practice that TC staff members use to minimise client rejection of criticism: the interactional use of anecdotes. An anecdote is the conversational representation of a real or a fictional event used to convey a principle of conduct, which a client has putatively violated. Through the use of anecdotes, TC staff members speak indirectly about a client’s misdemeanours or shortcomings through stories that allude to but are not directly ‘about’ the client’s particular misdeeds. The anecdotes, of real or imagined events, are displaced from and do not purport to be ‘about’ the client’s actual behaviour. The use of anecdotes enables TC staff members to highlight a client’s shortcomings/misdeeds without directly referring to the client’s behaviour, and therefore without directly criticising the client. In this study I demonstrate that TC staff members use this practice to interdict client access to a resource that they can otherwise use to deflect or fend off criticism: defensive circumstantial detailing (Jefferson, 1985). In TC meetings, clients regularly use this form of defence when TC staff members criticise them directly. Before moving on to the use of anecdotes, Extract 1 illustrates what commonly happens when TC staff members directly criticise a client’s conduct.

(1) IntL2:287 55:27 ‘Housework’
S=staff, C=client

01 S-San: No? >Hai capito.< Te- (0.2) non ( )
no have-2S understand-PSTP 2S.N not
Right? >You see.< You- (0.2) (You didn’t) ( )

02 bisogno, e per quello (0.3) non sai quello che vuoi(l) need and for that not know-2S that REL want-3S need, and for this reason (0.3) you don’t know what it

03 dire (0.3) manteneerti, pagare le bollette, say-INF maintain-INF=2S.RFL pay-INF the bills
means (0.3) to earn your living, to pay the bills,

04 perché n- non: (0.3)/(n) non hai avuto mai because not not have-2S have-PSTP never
because you n- never (0.3)/(n) you never

05 bisogno di (0.2)/(hh) di farte il letto need of of make-INF=2S.RFL the bed
needed to (0.2)/(hh) to make your own bed,

06 piuttosto che farti le pulizie. rather than do-INF=2S.RFL the cleanings.
or to do housework.

07 (0.3)

08 S-San: Capito [(tu)-
understand-PSTP 2S.N You see (you)-

09 C-Fla: [No >va beh< (eh) diciamo .hh (nel)
no PTC PTC say-NPST.1F in=the
Well no (uh) let’s say .hh (in the)

10 quando lavoravo, (.) facevo <mo:lt0> poco le: >pulizie.=< when work-IPF.1S do-IPF.1S very little the cleanings
when I was working, (.) I did <ve:ry> little >housework.<=

11 =Ma se no; (eh) davo una mano anche a mia mamma
but if no PTC give-IPF.1S a hand also to my mum
=But otherwise (uh) I gave a hand to my mum

12 che ha sessanta: sessant’anni.
REL have-3S sixty sixty-eight=years.
who’s sixty: sixty-eight.

In Extract 1 Sandro (staff) describes Flavio’s (client) conduct in negatively valued terms (e.g. he did not perform tasks that an adult can be expected to perform, such as earning his own living, etc.; lines 1-6). This type of criticism systematically provides clients with an opportunity to engage in circumstantial defensive detailing; by way of volunteering details on the criticised behaviour, clients can convey that their conduct has not been properly
understood and that it is not as bad as the staff have portrayed it. Here Flavio reports that, at least sometimes, he helped his mother in the housework (lines 9-12). This factual correction of Sandro’s description undermines the relevance or applicability of his conveyed criticism.

Anecdotes are a more circuitous way of pointing out the inappropriateness of a client’s behaviour without describing or evaluating it. Also, anecdotes are designedly harder to challenge because clients cannot claim privileged access to the circumstances portrayed in them as they can do when their own real-life conduct is under discussion (Heritage, 2011). Because they are designed to resist empirical testing and challenge, anecdotes bear similarities with idioms and hypothetical cases. Idioms can be used to promote recipient affiliation to complaints:

‘idiomatic expressions remove the complaint from its supporting circumstantial details. This may give such expressions a special robustness: since they are not to be taken literally, they may have a certain resistance to being tested or challenged on the empirical facts of the matter.’ (Drew and Holt, 1988 p. 406)

Similarly, anecdotal cases are designed to resist empirical challenge because they do not contain empirical details that can be contested or verified. However, idioms are different from anecdotes in that they follow – and usually condense the upshot of – a description of the complained-of conduct. Anecdotes are used as alternatives to the description of a recipient’s conduct; as I will show, they allow TC staff members to bypass that description when conveying criticism.

Hypothetical scenarios can be used to promote recipient cooperation in circumstances where this may not be forthcoming. HIV/AIDS counsellors introduce hypothetical cases to pursue client talk about dying in circumstances where clients have passed on previous opportunities to engage in that type of talk (Peräkylä, 1995, pp. 280-286). By asking how clients would feel or act in a hypothetical scenario were they became ill, HIV/AIDS counsellors interdict client access to a resource that clients can use to avoid answering questions about their situation, i.e. claiming that their current situation is no cause for concern (Peräkylä, 1995, P. 309). Like idioms and anecdotes, hypothetical cases are designed to resist empirical challenge because their details are incontestable and unverifiable (they are mere possibilities). Hypothetical cases are also different from anecdotes: in HIV/AIDS counselling, hypothetical cases are treated as scenarios that can materialise in the future, and clients are encouraged to think about those possible scenarios (Peräkylä, 1995, pp. 299-300). By contrast, TC staff members treat the specific circumstances represented in their anecdotes as secondary and instrumental to conveying a norm of conduct. This is evidenced in TC staff members’ treatment of different types of anecdote (different in content and shape) as interchangeable; as I will show in ‘Anecdotes Are Used to Interdict Client Access to Defensive Detailing’ section, many anecdotes can be used to convey the same principle.
The aim of this study is to examine the interactional use of anecdotes in implementing criticism, and to demonstrate that anecdotes are used and oriented to as an alternative to the practice of direct criticism, specifically because they maximise recipient acceptance by interdicting recipient access to the resource of defensive circumstantial detailing. Although in this study I focus on the case of TC meetings, the findings are relevant for a wide range of professional-client interactions in therapeutic and educational settings where professionals are tasked with fostering some kind of change in their clients’ conduct (Arminen and Halonen, 2007; MacMartin and LeBaron, 2006; van Nijnatten and van Elk, 2015).

Methods

Data for this study were collected in three TCs in Italy (a residential TC for people with drug addiction, a residential TC for people with drug addiction and mental health issues, and a semi-residential TC for young adults with drug addiction) between 2009 and 2014. These TCs delivered intensive (residential or semi-residential) rehabilitation programs involving daily work, educational, and leisure activities. Meetings between all the clients and a number of staff members (who usually took turns in chairing the meetings) happened in each TC on a weekly basis. The staff members had a background in education, social work or psychology. The clients had diagnoses of drug and/or alcohol addiction, and sometimes mental health issues (so called co-morbidity). In the data for this study the number of staff per meeting varied from 1 to 4, and the number of clients from 3 to 16. Data consist of 24 audio or video-recorded meetings lasting 26 hours in total (the instances used in this paper are taken from video-recorded meetings).

I used Conversation Analysis to collect, transcribe and analyse staff members’ use of anecdotes to criticise clients’ conduct. My approach was to identify extended episodes where the group focused on some client problematic behaviour, which was treated as violating a norm of conduct or a therapeutic principle. The target behaviours had either occurred in the meeting, in a recent past (usually the week before the meeting), or in a more distant past (clients’ life before admission to the TC). I identified 25 episodes in which TC staff members used anecdotes (usually more than one) to deliver client criticism. The instances in this paper are illustrative of patterns identified across these cases. The data are displayed in three-line transcripts containing the original language (Italian, with occasional use of a local dialect), an interlinear gloss and an idiomatic English translation. Transcription conventions and abbreviations are provided in the Appendix. Participants gave written informed consent for the transcripts to be published; all names in this paper are pseudonyms.

Results
When a client’s inappropriate behaviour is brought to the attention of the group, rather than directly criticising that behaviour, TC staff members can describe an anecdotal case illustrating a norm or principle that the client has putatively violated. This enables TC staff to convey criticism without directly commenting on the client’s target behaviour. The circumstances in which the target behaviour originally emerged are transposed into an illustrative case scenario which displays visible connections with the circumstances of the real case (‘Anecdotes Are Transpositions of Client Circumstances’ section). The staff use anecdotes to deliver a point or upshot, which coincides with a norm or principle of conduct that the client allegedly violated (‘Anecdotes Convey a Point or Upshot That Applies to the Clients’ Cases’ section). Anecdotes are designed to resist empirical testing by way of being built as exemplary cases, distanced from the real-life circumstances in which the client's problematic conduct emerged (‘Anecdotes Are Used to Interdict Client Access to Defensive Detailing’ section).

Anecdotes are transpositions of client circumstances

Rather than directly criticising a client’s behaviour, TC staff members transpose or represent the circumstances in which that behaviour emerged within the narrative framework of an anecdote. The anecdote is designed to deliver a point that will apply, mutatis mutandis, to the client’s behaviour (see ‘Anecdotes Convey a Point or Upshot That Applies to the Clients’ Cases’ section). However, TC staff usually do not flag the relationship between the real-case circumstances and those represented in the anecdote in any overt manner. The connection between the anecdotal case and the client’s real case is made available through the details of the anecdotal case and left to be inferred. This can be seen in Extract 2.

(2) IntG1:91 19:42 ‘Video games’
S=staff, C=client

01 C-Alb: dovrebbe sicuramente: (.) un consiglio mio che posso
must-CND-3S surely an advice my REL can-1S
he certainly should (. ) some advice that I can

02 dargli#: (.) riuscire a lavorare di più su questa
give-INF=3S.D manage-INF to work-INF of more on this
give him (. ) (is to) try and work more on this

03 cosa, e riuscire (0.4) a respirare di più, a calmarsi
thing and manage-INF to breathe-INF of more to calm-INF=RFL
thing, and try (0.4) to breathe more, and calm down

04 un attimo, prima di partire: in questa maniera,
an instant before of start-INF in this-F fashion
a bit, before going nuts like that,

05 perché sicuramente (1.1) dal mio punto di vista
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because surely from the my point of view because certainly (1.1) from my point of view

06 è molto lo::[:::
be-3S very {(unclear what "lo" might be starting)}
(he)/(it) is very

07 S-Gia: [Scusa Alberto?=
sorry NAME
Sorry Alberto?=

08 C-Alb: =Dimmi.
Tell-IMP.2S=1S.D
=Yes.

09 S-Gia: Stasera te ve al bar, te ve a zugare a
Tonight you go to the bar, you go to play

10 flipper, (. ) a[rriva uno, te da 'n: 'n:: 'n(o) sciafon=

pinball, (. ) someone comes, and gives you a big slap=

11 C-Alb: [Sì. 
Yes.

12 S-Gia: =nel muso, e te dise “no guarda zugo mi.”
in=the face, and 2S.D say-3S no look-IMP.2S play-1S 1S.N
=in the face, and he tells you “look I’m playing now.”

13 (0.6)

14 C-Alb: Eh.
PTC
Right.

15 S-Gia: E cosa ghe spiaghito.= Ghe feto un’analisi
What do you say to him.=Do you give him a psychological

16 psicologica, vojo dir del fatto
psychological want-1S say-INF of=the fact

17 analysis, I mean about the fact

18 C-Alb: [No no:. [Io non avrei fatto <nie:nte> 

in quel caso (no no)/(uno) (0.8)
in that case no no one
in that case (no no)/(one) (0.8)
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20 S-Gia: > Ciòè< te sembra giusto e normale
PTC 2S.D seem-3S right and normal
> "I mean"< do you think it’s right and normal

21 C-Alb:

22 C-Alb:

23 S-Gia: => minaccia con uno schiaffo perché la vol zugare ela?<
threaten-3S with a slap because 3S.SCL want-3S play-INF 3S.N

24 C-Alb: No?

25 (1.1)

26 S-Gia: E allora perché te fe tutta questa:: (.)
and so why 2S.SCL do-2S all this
And so why are you doing all this:: (.)

27 filippica sul fatto che:: dovaria lavorare
philippic on the fact CMP must-CND.3S work-INF
sermon about the fact that he should work

28 su questo su quell’altro e su ‘st’altro.
on this on that=other and on this=other

Before Extract 2, Alberto (a client) reported being threatened by another client (Dario) some days before the meeting, in the context of a dispute about whose turn it was to play with a video game console. Alberto reported that Dario threatened him with physical violence (“And at that point I took the CD that he had put in, I pulled it out, and he came to me (.) and he told me ‘Look I’ll give you a slap.’”, data not shown). After this reporting, at lines 1-6, Alberto gives Dario some advice. From line 9, Gianni (staff) delivers an anecdote to illustrate that Alberto’s rather restrained position towards Dario (particularly its ‘psychologising’ character) is an insufficient and inadequate reaction to the magnitude of the episode. Gianni designs the anecdote as an illustrative case of the type of circumstance that Alberto reported. The case presents recognisable connections to the original story. It involves Alberto as a character in a hypothetical future situation which happens in a bar rather than the TC (line 9); it involves a dispute over access to playing a game (pinball rather than a video game console; lines 9-10), and an act of violence against Alberto (although it is an actual slap rather than the threat of it, lines 10 and 12). Furthermore, Gianni displays an orientation to this connection by suggesting that the anecdotal case bears implications for the assessment of Alberto’s conduct in the real case; by challenging Alberto to say whether
he would deal with the offender in the anecdotal case through a “psychological analysis” of him (Koshik, 2003), Gianni indirectly caricatures Alberto’s reaction to the threat that he received in the real case (lines 1-4). Additionally, in his response Alberto refers to the anecdotal case as “that case” (“Io non avrei fatto niente in quel caso”/“I wouldn’t have done anything in that case”; lines 18-19), thus possibly displaying an orientation to the anecdote as a case that is not designed to be understood in isolation but in its relationship with the real case. Extract 3 provides another instance.

(3) – IntL2:381 58:20 ‘Bleach’
S=staff, C=client

01 **S-San:** E ti ha picchiato? Ti ha and 2S.A have-3S beat.up-PSTP 2S.A have-3S And did he beat you up? Did he

02 **C-Fla:** sculaccia:to.={Cosa ( What )
spank-PSTP spank you.=(What )

03 **S-San:** [No:. Però; (lui) >si è no but 3S.N RFL be-3S
No:. But (he) >got

04 arrabbia[to e mi ha fatto perdere la-< get.mad-PSTP and 1S.D have-3S do-PSTP lose-INF the
mad and he made me lose my-<

05 **S-San:** [Ti ha urla:to.
2S.A have-3S shout-PSTP
Did he shou:t at you.

06

07 **C-Fla:** Allora. Va beh è saltata fuori una piccola so PTC be-3S jump-PSTP out a small
So. Okay a small argument

08 discussione, ho fatto “Guarda .h la prossima discussion have-1S do-PSTP look-IMP.2S the next
started, I said “Look .h next

09 volta, (.)/(.h) ne metto due dita.”
time PTV put-1S two fingers
time, (.)/(.h) I’ll pour ((only)) two drops.”

10

11 **S-San:** Si per[ò io non riesco a capire.
yes but 1S.N not manage-1S to understand-INF
Yes but I don’t understand.

12 **C-Fla:** [Cosi?
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like this
That’s it?

13 (0.9)

14 S-San: Adamo. (0.2) (Vai eh-) Riprendiamo questo. (.)
NAME go-IMP.2S PTC retake-IMP.1P this
Adamo. (0.2) (Go uh-) Let’s revisit this.

15 Io sono: (0.3) e:::h Flavio. Te sei Ilario.
NAME be-1S PTC NAME 2-S.N be-2S NAME
I am (0.3) u:::h Flavio. You are Ilario.

16 Te mi dici (.). Vai Adamo. Dimmi.
NAME say-2S (.) go-IMP.2S NAME say-IMP.2S=1S.D
You tell me (.). Go Adamo. Tell me.

17 (0.3)

18 C-Ada: Eh quanta candeggina hai mes:::so.
PTC how.1S much bleach have-2S put-PSTP
Right how much bleach did you use.

19 (0.3)

20 San: E::h no: e:::h ho messo un::: un po’ di-
NAME no PTC have-1S put-PSTP a a bit of
U::h no: u:::h I used a::: a bit of-

21 m: messo un goccio.
put-PSTP a drop
I u: used ((just)) a bit.

22 C-Ada: (Tieni la mano), perché se no restiam
keep-IMP.2s the hand because if not remain-1P
(Use less bleach), because otherwise

23 senza.
without
we finish it.

24 (0.4)

25 S-San: A[:h (no)] non lo sapevo:. Escusa.
NAME (not) not 3S.A know-IPF.1S sorry
O:h I (di) I didn’t know that. Sorry.

26 C-Ada: [(Pero-)]
(unclear)

27 S-San: [La prossima volta metto un po’ di meno=.
the next time put-1S a bit of less
Next time I will use a bit less ((bleach)).=

28 C-???: [Heh heh.]
Before Extract 3, Flavio (a client) reported being told by another client called Ilario (on a day preceding the meeting) that he was using too much bleach whilst doing housework, and he referred to having a “reaction” (i.e. towards Ilario, alluding to an argument they had; data not shown). Sandro (staff) challenges Flavio, possibly both for his treatment of the episode as a complainable matter and for his reaction towards Ilario within that episode (lines 1-2 and 5). In line with a pattern observed throughout the TC data, the client responds to Sandro’s conveyed criticism by engaging in circumstantial defensive detailing which can justify his reaction in the reported episode and his treatment of the episode as a complainable matter (lines 3-4; Drew, 1998); furthermore, it introduces extenuating circumstances to fend off Sandro’s conveyed criticism (lines 8-9). At line 14, Sandro recruits another client (Adamo) to enact an anecdotal version of the episode recounted by Flavio (on enactment, Sandlund, 2014). The anecdotal case presents recognisable connections to the real case: it involves the same characters (Flavio and Ilario, enacted by Sandro and Adamo.
respectively), engaged in the same activity (Ilario criticising Flavio for using too much bleach), although the anecdotal episode has a different outcome (Flavio promptly accepts and apologises instead of getting into an argument with Ilario). At lines 32 and 34, the clients involved in the real case (Ilario and Flavio) display their understanding that the anecdotal case is not to be considered in isolation but in its implications for the assessment of the real case in which they were involved (e.g. “Questa qua è la discussione”/“This was the argument” treats the anecdotal case as a model against which to analyse the dynamic of the real case).

To summarise, anecdotal cases are designed and received as cases that should not be seen in isolation but in their relationship with, and in their implications for the assessment of the client’s real case. The implication is that the principles conveyed through the anecdotal cases can be taken to apply to the client’s case.

Anecdotes convey a point or upshot that applies to the clients’ cases

Because the cases that TC staff members use are anecdotal, i.e. designed to deliver a point or upshot, and because they are recognisably connected to the clients’ real cases (as shown in ‘Anecdotes are transpositions of client circumstances’ section), the conveyed points or upshots that apply to the anecdotal cases (and which the anecdotal cases illustrate) also apply to the clients’ real cases, mutatis mutandis. The anecdotal nature of the cases is crucial for their action import, i.e. criticising clients’ conduct. In fact, the points or upshots conveyed through the anecdotes are invariably principles or norms of conduct, which the clients have putatively violated. Using anecdotes enables TC staff members to allude to these violations rather than overtly claiming or describing them.

In Extract 2 (shown above) Gianni designs his anecdote to convey criticism of Alberto’s rather composed and restrained treatment of another client’s threat of physical violence. Gianni does so through an anecdote illustrating a principle of conduct (i.e. that one should react sharply towards or firmly reject any form of violence), which the client has putatively violated. The anecdote is designed to facilitate extraction and endorsement of this principle by way of being exaggerated (on exaggeration, Drew, 2003; on endorsement, Zemel, 2014). In the anecdotal version of the events Alberto receives a slap in the face, whereas in the real case he was only threatened with a slap (line 10). Exaggeration makes the case an unequivocal illustration of the putatively violated norm of conduct. This anecdote does not comprise an illustration of what would count as an appropriate or inappropriate response to violence; the narrative reaches a climax with the “big slap in the face” (“sciafon nel muso”; lines 10 and 12), and it does not illustrate how the protagonist reacts to it. Rather, Gianni solicits Alberto to articulate what he would do in the anecdotal/hypothetical scenario (lines 15-17); he thereby provides for the client himself to extract and appreciate the principle which he has putatively violated. There is evidence that Gianni’s question/challenge at lines 15-17 provides for Alberto to extract and endorse the upshot of the anecdote in terms of a putatively violated norm of conduct: when Alberto fails
to extract and endorse the conveyed principle (lines 18-19) Gianni unpacks that principle and pursues its endorsement (lines 20-21 and 23). Furthermore, after the client eventually endorses the principle (lines 22 and 24), Gianni uses this endorsement as a basis (“E allora”/“And so”) for now overtly challenging Alberto’s measured response to the threat that he suffered in the real case (lines 26-28). This strongly suggests that Gianni introduced the anecdote as part of an interactional project to convey criticism of Alberto’s conduct in the real case. By endorsing the principle conveyed through the anecdote (which constitutes a narrative illustration of the class of possible events to which Alberto’s real case putatively belongs; lines 22 and 24), the client puts himself in a position where his conduct in the real case can now be seen as inadequate, if it was applied in the anecdotal case; and because the anecdotal case belongs to the same class of possible events as the client’s real case, that conduct can be seen as inadequate in the real case as well. Gianni observably orients to this conclusion as an inference that can and should be made (“E allora perché te fe tutta questa filippica”/“And so why are you doing all this sermon”, etc., lines 26-28). The use of an anecdote has therefore enabled Gianni to make criticism emerge without directly commenting on Alberto’s conduct (Drew, 1992).

In Extract 3 (shown above), the anecdote illustrates the principle that it is not acceptable to get into a quarrel for trivial reasons, such as being criticised for using too much bleach. Unlike Extract 2, this anecdote illustrates what would count as appropriate conduct in that circumstance (i.e. prompt acceptance of criticism/advice, line 25). Exaggeration designedly facilitates extraction and endorsement of the conveyed principle; in fact, the ‘Flavio’ character in the anecdotal case is portrayed as having such an accepting and apologetic demeanour (lines 25,27) that it occasions laughter from an unidentified client (lines 28; Sandlund, 2014). Additional evidence that the anecdote is designed to deliver a principle is to be found in lines 29 and 31 where Sandro solicits its extraction and endorsement; here Sandro challenges the clients to provide grounds for having an argument in the circumstances portrayed in the anecdote, whilst implying that such grounds do not exist. As in Extract 2, when extraction and endorsement of the conveyed principle is not forthcoming (at lines 32 and 34 the clients involved in the real case only confirm that they had the same type of argument that the anecdote illustrated) the staff member unpacks the import of the anecdotal case (line 36). By contesting that the anecdotal case can be considered an argument, Sandro provides for the appreciation of the contrast between the anecdotal case (illustrating how the client should have behaved, i.e. accept rather than contest) and the real case (where the client did contest, and where such contestation led to a dispute). Therefore, the anecdote exposes the client’s violation of a norm of conduct without overtly claiming or stating that it does so. Evidence for this is that Flavio treats Sandro’s turn at line 36 as pointing to a discrepancy between the anecdotal case and the real case; Flavio addresses this discrepancy by conceding that “the tone [of the argument in the real case] was a bit more accentuated” (“l’aria era un po’ più accentuata”; lines 37-38). He therefore displays an understanding that Sandro’s anecdote was designed to expose a client’s shortcoming (i.e. having an argument for trivial reasons). The use of an anecdote has
enabled the staff member to achieve this outcome without overtly commenting on or evaluating the client’s behaviour.

The analyses reported so far suggest that the use of anecdotes relies on a syllogistic logic (Gill and Maynard, 1995) whereby, if the client’s real case belongs to the same class of possible cases of which the anecdotal case is a typified instance, then the principle that applies to the anecdotal case (and which the anecdotal case is designed to illustrate) also applies to the real case. This is further supported by TC staff members’ orientations to the anecdotal cases as typical, generalised and illustrative instances of classes of events to which the clients’ real cases also belong. This orientation emerges in two ways in the data. First, when a client does not extract and endorse the principle conveyed through an anecdote, the TC staff unpack that principle and, at the same time, they reformulate the anecdotal case as a generalised case involving “people”, “someone”, and the like – rather than specific characters as in the original formulation of the anecdote. They thereby cue the client to how the anecdote should be understood, i.e. as a typified illustration of a norm, which is offered as a model against which to assess the client’s conduct in the real case. In Extract 2, Gianni’s pursuit of endorsement contains such a formulation (“te sembra giusto e normale […] che una persona […] la te minaccia con uno schiaffo”/“do you think it’s right and normal […] that someone […] threatens you with a slap”). Extract 4 is another example of this phenomenon.

(4) IntG5:113 21:57 ‘Old habits’
S=staff, C=client

01 C-adr: Perché::: l’ho passato anch’io l’alcol, e: so_
because 3S.A=have-1S pass-PSTP also=1S.N the=alcohol and know-1S
Because I’ve also been into alcohol, and I know

02 cosa vuol di:re star da s[oli.
what want-3S say-INF stay-INF by alone-P
what it means to be alone.

03 S-Gia: [L’alcol l’e- (.) l’esempio
the=alcohol (3S.SCL=be-3S) the=example
Alcohol wa- (.) Igor’s

04 de Igor è un esempio voj’ dire e::hm perché è un-
of NAME be-3S an example want-1S say-INF PTC because be-3S one
example is an example I mean u::hm because he is one-

05 perché è uno dei prossimi che andrà a casa
because be-3S one of=the next-P REL go-FUT.3S to home
because he is one of the next to go home

06 e tutto.
and everything
and all that.

07 C-adr: Ah hah?
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PTC PTC
Uh huh?

08 S-Gia:  L’era un esempio. Quando vedo un che una persona (0.2)
3S.SCL=be-IPF.3S an example when see-1S a CMP a person

It was an example. When I see a- that a person (0.2)

09 l’è: nella cacca più assoluto la se:
3S.SCL=be-3S in=the poo most absolute 3S.SCL RFL

is absolutely in the poo they

10 sta mettendo ancora nella cacca, (. ) accetto
stay-3S put-GER again in=the poo accept-1S

are putting themselves into the poo again, (. ) I accept

11 comunque de viverghe lo stesso vicino.
anyway to live-INF=3S.D the same nearby
to live close to them anyway.

For space considerations it is not possible to give a full account of the target problematic conduct and the anecdote in the case of Extract 4. We come in at a point where Gianni has already introduced an anecdote in the form of a possible future scenario in which someone visits Igor (a client) after the end of the rehabilitation programme only to discover that Igor has started hanging out at the local bars and seeing the same people he used to see (which alludes to relapse into alcohol use). In the anecdotal case, the protagonist decides to hang out with Igor despite these visible signs that he may be relapsing (this illustrates the putatively violated norm of conduct). Following the pattern observed throughout the TC data, Gianni solicited extraction and endorsement of the principle (data not shown). At the start of Extract 4 a client is extracting and appreciating the upshot of the anecdotal case (lines 1-2). However, Gianni treats this as the wrong upshot on the basis that it is focused on the alcohol problem of the client depicted in the anecdote (Igor; lines 3-6); Gianni then unpacks a much broader principle which he provides for the client to endorse at lines 8-11 (that one should not interact with people who are “nella cacca”/“in the poo”, a literal translation of an idiomatic expression which, in this case, alludes to relapse; Drew and Holt, 1988). In the process of soliciting that endorsement, Gianni issues another description of the type of event that illustrates the principle, now attributed to a generalised agent (“una persona”/“a person”, line 8) rather than a specific character. He thereby cues the client to how the anecdote should be understood, i.e. as an exemplary case, offered to illustrate the validity of a norm of conduct and designed to apply to a broad spectrum of possible situations. In Extract 4, Gianni conveys that the applicability of the anecdote extends beyond the case of Igor and his problems with alcohol, to a much broader class of possible problems in which any of the clients could get involved.

A second way in which TC staff members display an orientation to the anecdotes as generalised, typical illustrations of a principle of conduct (and its violation), is that they exhibit disinterest in the details of the anecdotal episode as such, which they treat as secondary and instrumental to the delivery of a point or upshot. This emerges in TC staff
members’ treatment of the anecdotal cases as interchangeable; when clients do not extract or endorse the conveyed principle, TC staff members recurrently deliver another anecdote (which is often a different type of anecdote). This is examined at the start of ‘Anecdotes Are Used to Interdict Client Access to Defensive Detailing’ section (see the analysis of Extract 5).

Anecdotes are used to interdict client access to defensive detailing

TC staff members treat the details of the anecdotes as interchangeable and instrumental illustrations of a principle. This can be seen in cases in which clients do not extract and endorse the principle that the anecdote is designed to convey, and TC staff members pursue endorsement by introducing another anecdote illustrating the same principle. Later in the same meeting from which Extract 4 (shown above) is taken, Adriano (a client) further fails to extract and endorse the principle that one should avoid hanging out with people who are or may be relapsing (data not shown). Subsequently Gianni pursues endorsement by introducing a different anecdote; this is shown in Extract 5.

(5) IntG5:149 23:02 ‘Old habits 2’
S=staff, C=client

01 S-Gia: [( ) l’altra volta Marco Carraro
the=other time NAME SURNAME
( ) some time ago Marco Carraro

02 m’ha raccontato:, (0.7) digo Marco perché: (.)
1S.D=have-3S tell-PSTP say-1S NAME because
told me, (0.7) I am saying Marco because (.)

03 m’ha raccontato che: (0.2) lu l’aveva fatto
1S.D=have-3S tell-PSTP CMP 3S.N 3S.SCL=have-IPF.3S do-PSTP
he told me that (0.2) he had done

04 una bella comunità l’ha finito tutto bene,
a nice community 3S.SCL=have-3S finish-PSTP everything well
a good community ((programme)) he completed it well,

05 gh’era tante cose messe in piedi e tutto, .hh
EX=be-IPF.3S many things put-PSTP on feet and everything
there were many things in place and all that, .hh

06 e ha inizià a frequentare delle persone vojo dire
and have-3S start-PSTP to associate.with-INF some persons want-1S say-INF
he started to hang out with people I mean

07 che era dentro nel giro (1.6)
REL be-IPF.3S inside in=the circle
who were ((‘in the circle’ - idiomatic and alluding
to people into drugs)) (1.6)
Whereas Gianni has previously used a hypothetical future scenario to illustrate the principle (summarised in ‘Anecdotes Convey a Point or Upshot That Applies to the Clients’ Cases’ section), in Extract 5 he recounts the story of a real, co-present client (Marco) to illustrate the same principle, and the negative consequences of its violation: Marco started hanging out with people who used drugs; later in the meeting, in data not shown, it emerges that, as a result of this, he relapsed. This phenomenon further supports the point made in in ‘Anecdotes Convey a Point or Upshot That Applies to the Clients’ Cases’ section: by switching to a different type of anecdote, TC staff members cue their clients to consider each anecdote as an instrumental illustration of a norm of conduct (and its violation), and to consider the specifics of the anecdote (i.e. who the protagonist is, whether it is an imaginary or a real event, etc.) as secondary to conveying a principle and its relevance for the clients.

The staff members’ treatment of anecdotes as interchangeable also points to another key feature: the anecdotes are designed to resist empirical testing. When TC staff directly criticise some client behaviour, clients regularly engage in defensive circumstantial detailing by drawing on their privileged access to the circumstances of the criticised conduct (see Extracts 1 and 3). TC staff members try to interdict clients’ access to this defensive resource by introducing illustrative cases over which they can exert some narrative control, such as the fictional rendition of a past event in Extract 3, the hypothetical future scenario in Extract 2, and the historical case of a different client than the client whose conduct is under scrutiny in Extract 5. Because clients cannot claim privileged access to the details of those cases as they can do with their own life experiences (Heritage, 2011), they also lack privileged resources for contesting the assessment of those cases. TC staff members seem to treat different types of anecdote as interchangeable because the circumstances portrayed in them are always distanced from the individual client’s personal experience and because they are equivalent in their function: they all serve the purpose of illustrating a putatively violated norm of conduct.

There are two types of evidence that TC staff members actively use anecdotes to interdict clients’ access to epistemic resources that they can use for defensive detailing. First, they use anecdotes to address and manage clients’ use of defensive detailing. In Extract 3 (shown above), when Flavio responds to Sandro’s conveyed criticism with defensive detailing (lines 3-9), Sandro introduces an anecdote (line 14). There is a clear advantage in doing this: whilst Flavio can draw on his first-hand knowledge of the real case to introduce extenuating circumstances (as in lines 3-4, where he introduces the other client’s reaction as grounds for having an argument), he does not have privileged access to this resource with regards the anecdotal case. It is therefore easier for Sandro to demonstrate his point (that one should not have an argument for trivial reasons) by shifting the focus away from the client’s real case to an anecdotal case designed to unequivocally illustrate that point (i.e. the circumstances portrayed in the anecdote are ostensibly trivial and, therefore, do not justify having an argument).

A second type of evidence comes from TC staff members’ use of third position repair (Schegloff, 1992). One of the ways in which clients can fail to extract and endorse the
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Upshot of an anecdote is to treat it as a real case, which should be analysed in isolation rather than in its anecdotal relationship with the client’s case. In response to this, TC staff engage in third position repair, specifying that the anecdote was an “example”, a “case”, and the like. This is shown in Extract 6 (see also Extract 4, lines 3-8).

(6) IntG7:74 23:41
S=staff, C=client

01 S-Gia: Prima hai detto che >vojo dir< hai aggredito before have-2S say-PSTP CMP want-1S say-INF have-2S attack-PSTP
   *Before you said >I mean< that you attacked*

02 delle persone, però (.) n:: non con some persons but not with

03 violenza. violence.

04 (.)

05 S-Gia: Se offendo::: un:: Roberto. if offend-1S a NAME
   If I offend:::nd a:: Roberto.

06 (0.7)

07 S-Gia: Non pensi che sia una violenza? not think-2s CMP be-SBJ.3S a violence
   Don’t you think it’s a form of violence?

08 (2.4)

09 S-Gia: O lo minaccio? or 3S.A threaten-1S
   Or ((if)) I threaten him?

10 (0.9)

11 C-Mar: Non lo so. (E) tu come te lo sei not 3S.A know-1S (and) 2S.N how 2S.RFL 3S.A be-2S
   I don’t know. How was it

12 vissuto Robe’. live-PSTP NAME
   for you Robe’.

13 (0.4)

14 S-Gia: No? Un caso. no a case
   No? ((It’s/It was)) an example.
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15  
16 S-Gia: Ho >fatto un esempio.< Se offendo Paololo.  
17 I >made an example.< If I offend Paololo.
18 S-Gia: Uno a caso.  
One at random.
19  
20 S-Gia: Tognetti.  
Tognetti.
21  
22 S-Gia: Che è quello:: ( ) l’è fora da ogni  
Who i::s the one ( ) who is out of any
23 ↓gioco. (>Vojo dire<) che è  
↓game2. (>I mean<) who is
24 il più tranquillo.  
the most calm
the quietest one.
25  
26 S-Gia: Se offendo eh- e::h Fabrizio.  
If I offend uh- uh Fabrizio.
27  
28 S-Gia: Non pensi che sia violen(h)a  
Don’t you think that it is violenc(h)e
29 anche que:lla. Oppure lo mina:ccio.  
as well. Or ((if)) I threa:ten him.
30  
31 C-Mar: Io non volevo offenderlo.  
I didn’t mean to offend him.
Before Extract 6, the staff members attending the meeting invited Marco (a client) to reflect on his own conduct (apparently involving aggressive behaviours) over a recent period of time. Although Marco admitted making some negative verbal comments to others, he also minimised their seriousness by saying that they were not a form of violence (data not shown). At lines 1-3 Gianni (staff) refers back to that part of Marco’s talk, then he introduces a hypothetical anecdote in which he (Gianni) offends a client (Roberto, co-present). At lines 7 and 9 Gianni solicits endorsement of the upshot of the anecdote (i.e. offending someone is a form of violence). However, Marco treats the case as real rather than hypothetical – i.e. as if Gianni was referring to the impact of Marco’s conduct on another client in real life (lines 11-12). This treatment provides Marco with a basis for avoiding addressing the upshot conveyed through the anecdote (“Non lo so”/“I don’t know”). Gianni engages in third position repair, clarifying that the anecdote was “an example” (“un caso”; line 14). He then pursues endorsement of the conveyed principle through an iteration of the anecdote, now using a different client as a ‘case’ (line 16). He then self-repairs his selection of a client by selecting yet another client (line 20) and by marking this selection as motivated by picking a client “at random” (“a caso”; line 18) – i.e. ostensibly for illustrative purposes and not as a case to be examined independently (see ‘Anecdotes Are Transpositions of Client Circumstances’ section). These repair operations support my observation that TC staff members employ anecdotes to interdict client access to circumstantial detailing, which is possible when clients treat an event as real rather than anecdotal. Gianni repairs the treatment of the anecdote precisely after Marco has treated it as a real case and has proposed that knowing its details is necessary to issue an evaluation of it (lines 11-12). This staff-client misalignment about the treatment of the case as anecdotal vs. real reflects their divergent agendas. By treating the case as real, the client can subject it to empirical scrutiny – scrutiny which could lead to proving that the case is not as bad as the staff member is trying to make out, and therefore that it does not support the conveyed criticism (see Extract 1). By framing the case as anecdotal the staff member treats it as an empirically unverifiable and self-evident illustration of a principle, that is, the anecdote is taken to always convey the same principle regardless of what its empirical details may be (as evident in Gianni’s orientation to the interchangeable and instrumental nature of referring to different clients for illustrative purposes, lines 16-26).

Discussion

Criticising someone’s conduct is a disaffiliative action that can undermine social harmony and cohesion. This is an especially salient problem in settings where professionals encourage their clients to modify maladaptive or inappropriate behaviours (on the basis of some explicit or tacit set of standards), but they have to do so in ways that do not disrupt the relationship with their clients. Group meetings of the kind examined in this study add another layer of complexity to this task: when a client does not accept feedback in front of
the group, this can compromise TC staff members’ credibility and authority. The interactional use of anecdotes is a practice designed to circumvent these generic problems. The professionals whose practices I examined in this study (TC staff members) employ anecdotes indirectly to convey criticism of their clients’ behaviours. Rather than criticising them directly, TC staff members introduce an anecdotal case which belongs to the same class of possible cases to which the target client’s case also belongs. Because of the co-class connection between the real and the anecdotal case, the principle illustrated through the latter also applies to the former. By endorsing the principle conveyed through the anecdotal case, clients put themselves in a criticisable position; they accept the general validity of a norm of conduct which they have violated in the real case. Their conduct in the real case is therefore exposed as inappropriate or inadequate (Drew, 1992). The use of anecdotes enables TC staff members to achieve this outcome without commenting on their clients’ conduct in any overt manner.

The study evidenced tensions over the epistemic treatment of cases as either anecdotal or real. Different ways of detailing an event can be used to support different assessments of it if one can claim legitimate access to those details. As long as clients can treat an event as real, they can invoke the details of its internal dynamic for an assessment of it (e.g. they can use circumstantial detailing to exonerate themselves from attributions of inadequate conduct, as Flavio does at the start of Extract 3). By treating a case as real clients can systematically avoid endorsing the principles whose illustration TC staff members ground on overtly simplified cases, stripped of all the nuances which almost unavoidably characterise real-life circumstances. Conversely, by treating a case as anecdotal TC staff members protect it from empirical testing and, therefore, design it as a self-evident and indisputable illustration of a norm.

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Appendix: transcription conventions and abbreviations
S-Gia Participant role (S for staff, C for client) followed by the first three letters of their pseudonym
C-Alb , ? . Punctuation captures intonation, not grammar: Comma is for slightly upward ‘continuing’ intonation; question mark for marked upward intonation; and period for falling intonation.

[ ] Left-side brackets indicate where overlapping talk begins.

] Right-side brackets indicate where overlapping talk ends.

(0.8) Numbers in parentheses indicate silences in tenths of a second. A period inside parentheses is a silence less than two-tenths of a second.

wo:::rd Colons indicate a lengthening of the sound just preceding them, proportional to the number of colons.

wo- A hyphen indicates an abrupt cut-off or self-interruption of the sound in progress indicated by the preceding letter(s).

word Underlining indicates stress or emphasis (usually conveyed through slightly rising intonation).

↑ ↓ An arrow symbol indicates a marked pitch rise or fall.

= Equal signs at the end of one line and the start of an ensuing one indicate a ‘latched’ relationship – no silence at all between them.

( ) Empty parentheses indicate talk too obscure to transcribe. Words inside such parentheses indicate a best estimate of what is being said.

hhh .hhh The letter ‘h’ is used to indicate hearable aspiration, its length proportional to the number of h’s. If preceded by a dot, the aspiration is an in-breath. Aspiration internal to a word (e.g., laughter) is enclosed in parentheses.

°word° Talk appearing within degree signs is lower in volume relative to surrounding talk.

((words)) Words in double parentheses indicate transcriptionist’s comments.

“words” Quotation marks indicate participants’ use of direct reported speech.

<-1 Turn carrying the anecdote

<-2 Client response to the anecdote

Abbreviations
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1 = first person  
2 = second person  
3 = third person  
A = accusative  
AUG = augmentative  
CL = clitic  
CMP = complementiser  
CND = Conditional  
D = dative  
EX = existential  
F = feminine  
G = genitive  
GER = Gerund  
FUT = Future  
IM = impersonal  
IMP = Imperative

INF = Infinitive  
IPF = Past Imperfect  
ITJ = interjection  
M = masculine  
N = nominative  
NPST = Non-Past  
PTC = particle  
PST = Past  
PSTP = Past Participle  
PTV = partitive  
REL = relativiser  
RFL = reflexive  
S = singular  
SBJ = Subjunctive  
SCL = subject clitic

In absence of other tense/aspect/mood glosses, the unmarked verb inflection is Present Indicative (simple present).

Notes

1 Although this is commonly translated as “invective”, given the context it appears that Gianni means something like “sermon”.
2 Idiomatic; I take it to suggest that Tognetti (a client) is notoriously not involved in group dynamics (e.g. tensions, collusion, etc.) in which other clients are involved.

References

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