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Energy-Efficient Resource Allocation in Multi-cell Virtualized Wireless Networks

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Abstract—This paper considers the down-link transmission of an OFDMA-based multi-cell virtualized wireless network (VWN) to serve users belonging to different service providers (slices) with the quality-of-service (QoS) requirements in terms of each slice’s minimum reserved rate. In order to improve energy efficiency, we formulate a joint base station (BS) assignment, sub-carrier and power allocation problem to minimize the total transmit power of all the BSs subject to the QoS constraints. This problem is inherently a non-convex optimization problem. To tackle its computational complexity, we apply successive convex approximation (SCA) and complementary geometric programming (CGP) to convert the problem into a computationally efficient formulation and propose an iterative algorithm for solving the problem. We introduce a variable called user association factor (UAF) for jointly assigning users to both BSs and sub-carriers. Simulation results illustrate the performance enhancement of the VWN achieved through our formulation for different network scenarios.

I. INTRODUCTION

A new trend of virtualized wireless networks (VWNs) has recently been proposed as a promising approach to increase the spectrum and infrastructure efficiency. In a VWN, the physical wireless infrastructure is shared among multiple service providers (SPs), also called slices [1]. Each slice needs to provide a minimum reserved rate for its own users to maintain their quality of service (QoS) requirements. Consequently, an efficient resource allocation algorithm is of high importance, which has been receiving a lot of interest recently [2], [3].

For instance, in [4], interactions among slices, network operator, and users are studied by an auction. A novel admission control policy is proposed in [5] by considering the channel state information (CSI) of users in each slice to support the QoS of users. In [6], an opportunistic algorithm to allocate the resources to virtual operators is proposed. In [7], the advantages of full-duplex transmission relay in VWN is investigated. In [8], a large number of antennas is applied to a VWN to improve its total performance.

Generally, these works have focused on analyzing the resource allocation problem in a single-cell VWN scenario. However, the practical deployment of a VWN involves a multi-cell structure where the coverage area of a specific region will be provided by a specific set of BSs. In this paper, we investigate the resource allocation problem in a multi-cell scenario to maintain the QoS of each slice, while improving the total performance of VWN over a specific region. In this setup, it is assumed that each user of each slice can be only served by one BS and this BS is not predetermined by the distance or measuring the received signal strength. Therefore, the resource sets involve the set of BSs, sub-carriers and power for each user belonging to each slice.

Specifically, the objective of the proposed optimization problem is to minimize the total transmit power to increase the energy efficiency of VWN. Due to the downlink OFDMA limitation, one sub-carrier in each BS can only be assigned to a single user and each user can only be allocated to a single BS. We introduce a variable user association factor (UAF) to jointly determine the BS assignment and the sub-carrier allocation to a given user. From the constraints on the UAF and the inter-cell interference, the resource allocation problem is non-convex and NP-hard, which suffers from high computational complexity. To encounter this challenge, we apply the complementary geometric programming (CGP) and successive convex approximation (SCA) to propose an iterative algorithm with two steps: Step 1 derives the UAF for a given power allocation, and subsequently, for each set of UAF obtained from Step 1, Step 2 derives the optimal power allocation. In each step, we use different transformation techniques like the Arithmetic Geometric Mean Approximation (AGMA) to obtain lower-bound geometric programming (GP) formulation, which can efficiently be solved by softwares, like CVX [9].

The rest of this paper is organized as follows. In Section II, the system model and problem formulation are introduced. Section III explains the mathematical preliminaries and the proposed algorithm. Section IV presents the simulation results followed by the conclusion in Section V.

II. SYSTEM MODEL

We consider the down-link transmission of a VWN, where the coverage of a specific area is provided by a set of BSs, i.e., \( \mathcal{M} = \{1, \ldots, M\} \). The total bandwidth of \( B \) Hz is shared between the BSs through orthogonal frequency division multiple access (OFDMA) within a set of sub-carriers \( \mathcal{K} = \{1, \ldots, K\} \). It is assumed that \( B_c = \frac{B}{K} \) is much smaller than the coherent bandwidth of the wireless channel, therefore, the CSI in each sub-carrier is flat. The set of BSs serves a set of slices, i.e., \( \mathcal{G} = \{1, \ldots, G\} \), where the slice \( g \) has its own set of users (denoted by \( \mathcal{N}_g = \{1, \ldots, N_g\} \)) and requests for a minimum reserved rate of \( R_{g} \).

Let \( h_{m,k,n_g} \) and \( P_{m,k,n_g} \) be the CSI of the link from BS \( m \in \mathcal{M} \) to user \( n_g \) of slice \( g \) on sub-carrier \( k \) and allocated...
power to user $n_g$ of slice $g$ on sub-carrier $k$, respectively. Due to OFDMA limitation, each user is assigned to only one BS, and to avoid intra-cell interference, orthogonal sub-carrier assignment is assumed among users in a cell. Furthermore, we assume that there is no pre-allocated BS for users. The binary-valued user association factor (UAF), $\beta_{m,k,n_g} \in \{0, 1\}$ is defined for user $n_g$ of slice $g$ on sub-carrier $k$ of BS $m$ as

$$\beta_{m,k,n_g} = \begin{cases} 1, & \text{if BS } m \text{ allocates sub-carrier } k \text{ to user } n_g, \\
0, & \text{otherwise.} \end{cases}$$

From the OFDMA exclusive sub-carrier allocation within each cell $m$, we have

$$C_1: \quad \sum_{g \in G} \sum_{n_g \in N_g} \beta_{m,k,n_g} \leq 1, \quad \forall m \in M, \quad \forall k \in K.$$  

Also, from multi-cell OFDMA limitation, we restrict the access of each user only to one BS as,

$$C_2: \quad \sum_{k \in K} \beta_{m,k,n_g} \left( [\sum_{m' \in M, m' \neq m} \sum_{k \in K} \beta_{m',k,n_g}] = 0, \quad \forall n_g \in N_g, \quad \forall g \in G, \quad \forall m \in M. \right.$$  

Let $P = \left[ P_{m,k,n_g} \right]_{\forall m,g,n,k}$ and $\beta = \left[ \beta_{m,k,n_g} \right]_{\forall m,g,n,k}$ denote the vector of all transmit powers and UAFs of users, respectively. The rate of user $n_g$ of BS $m$ in sub-carrier $k$ is

$$R_{m,k,n_g}(P) = \log_2 \left( 1 + \frac{P_{m,k,n_g} h_{m,k,n_g}}{\sigma^2 + I_{m,k,n_g}} \right), \quad (1)$$

where

$$I_{m,k,n_g} = \sum_{m' \in M, m' \neq m} \sum_{g \in G} \sum_{n_g' \in N_g, n_g' \neq n_g} P_{m',k,n_g'} h_{m,k,n_g'},$$

and $\sigma^2$ is the noise power assumed to be the same for all users in all sub-carriers and BSs. Now, from (1), the required minimum rate of slice $g \in G$ is

$$C_3: \quad \sum_{m \in M} \sum_{n_g \in N_g} \sum_{k \in K} \beta_{m,k,n_g} R_{m,k,n_g}(P) \geq R_g^{\min}, \quad \forall g \in G.$$  

Considering C1-C3, an optimization problem to allocate the BS, sub-carrier and power with the aim of maximizing the energy efficiency can be written as

$$\min_{\beta, P} \sum_{m \in M} \sum_{g \in G} \sum_{n_g \in N_g} \sum_{k \in K} \beta_{m,k,n_g} P_{m,k,n_g}, \quad (2)$$

subject to: C1 - C3.

The optimization problem (2) has a non-convex objective function due to inter-cell interference and involves non-linear constraints with the combination of continuous and binary variables, i.e., $P$ and $\beta$. Consequently, (2) is a non-convex mixed-integer, NP-hard optimization problem [10]. Therefore, an efficient algorithm with reasonable computational complexity is needed to solve the resource allocation problem.

III. PROPOSED ALGORITHM

To solve (2), we propose the iterative Algorithm 1, where first, we calculate $\beta$ based on a given $P$, and then, use the derived $\beta$ to calculate $P$ as follows:

$$\beta(0) \rightarrow P(0) \rightarrow \ldots \beta(t)^* \rightarrow P(t)^* \rightarrow \beta^* \rightarrow P^*, \quad (3)$$

where $t > 0$ is the iteration number, $\beta(t)^*, P(t)^*$ are the optimal values. The iterative procedure is stopped when

$$||\beta^*(t) - \beta^*(t - 1)|| \leq \varepsilon_1 \quad \text{and} \quad ||P^*(t) - P^*(t - 1)|| \leq \varepsilon_2$$

where $0 < \varepsilon_1 < 1$ and $0 < \varepsilon_2 < 1$. Note that the user association and power allocation problems are still non-convex and suffer from high computational complexity. In developing an efficient algorithm, we apply complementary geometric programming (CGP) via different transformations and convexification approaches to solve the sequence of lower-bound GP-based approximations as discussed in the following sub-sections.

A. A brief review of complementary geometric programming

The standard form of GP is defined as

$$\min_{x} p_0(x), \quad (4)$$

subject to: $p_i(x) \leq 1, \quad \forall i = 0, 1, \ldots, I,$

$q_j(x) = 1, \quad \forall j = 0, 1, \ldots, J,$

where $x = [x_1, x_2, \ldots, x_N]$ is a non-negative vector of optimization variables, $q_j(x) = \prod_{i=1}^{N} c_{jn} x_{n}^{a_{kn}}$, $p_i(x) = \prod_{i=1}^{K_i} c_{i} x_{n}^{a_{i}^{n} x_{n}^{a_{i}^{n}}}$ for all $i$ are monomial and posynomial functions, respectively where $c_{jn}, c_{i} > 0, a_{kn}, a_{i}^{n} \in \mathbb{R}$. There are restrictions on the constraints in (4) for many practical problems such as (2), e.g., the equality constraints may contain posynomial functions or inequality constraints may not be posynomials. These problems belong to either one of the classes of optimization problems such as complementary geometric programming (CGP) which can be presented as

$$\min_{x} p_0(x), \quad (5)$$

subject to: $p_i(x) \leq 1, \quad \forall i = 1, \ldots, I,$

$Q_j(x) = 1, \quad \forall j = 1, \ldots, J$  

where $p_0(x) = p_0^+(x)$, $p_i(x) = p_i^+(x)/p_i^-(x)$, $i = 1, \ldots, I$ and $Q_j(x) = q_j(x)/p_j^-(x)$ in which $p_i^+(x), p_i^-(x), j = 0, 1, \ldots, J$ are posynomial functions, and $q_j(x)$ and $p_j(x)$ are monomial and posynomial functions $\forall j$, respectively.

One approach to solve (5) is to convert the CGP into a sequence of standard GP problems [11], [12] that can be solved to reach a global solution. Using the arithmetic-geometric mean approximation (AGMA) to transform the non-posynomial functions to posynomial form, i.e., $P_i(x)$, and $Q_j(x)$ to its monomial functions, at iteration $t$, the approximated forms of $p_i^+(x) = \sum_{k=1}^{K_i} q_i^k(x)$ and $p_j(x) = \sum_{k=1}^{K_j} q_i^k(x)$ are

$$\overline{p_i}(x(l)) = \prod_{k=1}^{K_i} \left( \frac{q_i^k(x(l))}{a_{kn}^k(l)} \right)^{a_{kn}^k(l)}, \quad (7)$$
\[
\bar{p}_i(x(l)) = \prod_{k=1}^{K_j} \left( q_k^i(x(l)) \right)^{\alpha_k^i(l)},
\]
(8)
where \( \alpha_k^i(l) = \frac{q_k^i(x(l-1))}{p_k(x(l-1))} \) and \( \zeta_k^i(l) = \frac{q_k^i(x(l))}{p_k(x(l))} \). Now, we have \( \bar{P}_i(x(l)) = \frac{\bar{p}_i(x(l))}{\bar{q}_i(x(l))} \) and \( \bar{Q}_i(x(l)) = \frac{\bar{q}_i(x(l))}{\bar{p}_i(x(l))} \) which are posynomial and monomial functions, respectively [11]. Hence, at each iteration \( l \), (5) is replaced with

\[
\min_{x} P_0(x),
\]
(9)
s. to:
\[
\bar{P}_i(x(l)) \leq 1, \quad \forall i = 1, \ldots, I,
\]
\[
\bar{Q}_j(x(l)) = 1, \quad \forall j = 1, \ldots, J.
\]

It has been shown that the optimal solution of iterative algorithm from (3) has a very close performance to the optimal solution [11], which can be solved by CVX [9].

**B. User-Association Sub-Problem**

For a given \( P(t) \), (2) is transformed into

\[
\min_{\beta} \sum_{m \in M} \sum_{g \in \Theta} \sum_{n_g \in \mathcal{N}_g} \sum_{k \in K} \beta_{m,k,n_g} R_{m,k,n_g}(P(t)),
\]
(10)
s. to: C1, C2, C3.

Here, for iteration \( t \), C3 is converted to,

\[
\tilde{C}_3 : \sum_{m \in M} \sum_{n_g \in \mathcal{N}_g} \sum_{k \in K} \beta_{m,k,n_g} R_{m,k,n_g}(P(t)) \geq R_{g}^{\text{avg}}, \quad \forall g \in \mathcal{G}.
\]

In (10), \( \beta \) are the optimization (binary) variables. Thus, (10) has much lower computational complexity than (2). Furthermore, we relax \( \beta_{m,k,n_g} \in [0,1] \), and then use the AGMA to convert C2 and C3 as follows. First, we rewrite C3 as, \( \forall g \in \mathcal{G} \),

\[
\tilde{C}_3 : \sum_{m \in M} \sum_{n_g \in \mathcal{N}_g} \sum_{k \in K} \beta_{m,k,n_g} R_{m,k,n_g}(P(t)) \leq R_{g}^{\text{avg}}.
\]

Now, since the denominator in \( \tilde{C}_3 \) is a posynomial, for each iteration \( t \) of the user association sub-problem, we approximate it and rewrite C3 as,

\[
\prod_{m \in M, n_g \in \mathcal{N}_g, k \in K} \left( \frac{\beta_{m,k,n_g}(t_1) R_{m,k,n_g}(P(t))}{\varphi_{m,k,n_g}(t_1)} \right)^{-\varphi_{m,k,n_g}(t_1)} \leq 1
\]

where, \( \forall m \in M, \forall g \in \mathcal{G}, \forall n_g \in \mathcal{N}_g, \forall k \in K \),

\[
\varphi_{m,k,n_g}(t_1) = \beta_{m,k,n_g}(t_1 - 1) R_{m,k,n_g}(P(t))
\]
(11)

Next, assuming \( x_{m,n_g}(t_1) = \sum_{k \in K} \beta_{m,k,n_g}(t_1) \) and \( y_{n_g}(t_1) = \sum_{m \in M} \sum_{k \in K} \beta_{m,k,n_g}(t_1) \), C2 can be written as

\[
x_{m,n_g}(t_1) y_{n_g}(t_1) - x_{m,n_g}(t_1) = 0,
\]
(12)
\( \forall n_g \in \mathcal{N}_g, \forall g \in \mathcal{G}, \forall m \in \mathcal{M} \).

To convert (12) into a monomial function, we rewrite it as

\[
x_{m,n_g}(t_1) y_{n_g}(t_1) = x_{m,n_g}(t_1) + 1 = 1 + x_{m,n_g}(t_1),
\]
and by adding 1 to both left and right hand sides, we get

\[
x_{m,n_g}(t_1) y_{n_g}(t_1) + 1 = 1 + x_{m,n_g}(t_1).
\]
(13)
\( \forall n_g \in \mathcal{N}_g, \forall g \in \mathcal{G}, \forall m \in \mathcal{M} \).

The above inequalities can be written as

\[
\frac{x_{m,n_g}(t_1) y_{n_g}(t_1) + 1}{s_{m,n_g}(t_1)} \leq 1, \quad \text{and} \quad \frac{s_{m,n_g}(t_1)}{1 + x_{m,n_g}(t_1)} \leq 1.
\]

Now, by using AGMA approximation, the above expressions are transformed into

\[
C2.1: s_{m,n_g}(t_1) + x_{m,n_g}(t_1) y_{n_g}(t_1) \leq 1,
\]
\[
C2.2: \left[ \frac{1}{s_{m,n_g}(t_1)} \right] - \lambda_{m,n_g}(t_1) \leq 1,
\]
(14)
\[
\alpha_{m,n_g}(t_1) = \frac{x_{m,n_g}(t_1) - 1}{2 s_{m,n_g}(t_1) - 1},
\]
(15)

Now, C2 can be replaced by the following constraints

\[
C2.1, C2.2,
\]
\[
C2.3: x_{m,n_g}(t_1) = \sum_{k \in K} \beta_{m,k,n_g}(t_1),
\]
\[
C2.4: y_{n_g}(t_1) = \sum_{m \in M} \beta_{m,k,n_g}(t_1).
\]

Note that via (13), the positive condition for the constraints of GP is met. However, the equality constraints in C2.3 and C2.4 are not monomials since we have \( x_{m,n_g}(t_1) - \sum_{k \in K} \beta_{m,k,n_g}(t_1) = 0 \) and \( y_{n_g}(t_1) - \sum_{m \in M} \beta_{m,k,n_g}(t_1) = 0 \), and, they have negative constraints. To convert C2.3 and C2.4 to the monomial functions, we again apply AGMA approximation as

\[
\tilde{C}_2.3: x_{m,n_g}(t_1) \prod_{k \in K} \frac{\beta_{m,k,n_g}(t_1)}{\nu_{m,k,n_g}(t_1)} = 1,
\]
\[
\tilde{C}_2.4: y_{n_g}(t_1) \prod_{m \in M} \frac{\beta_{m,k,n_g}(t_1)}{\eta_{m,k,n_g}(t_1)} = 1,
\]
where \( \nu_{m,k,n_g}(t_1) \) and \( \eta_{m,k,n_g}(t_1) \) are given by,

\[
\nu_{m,k,n_g}(t_1) = \frac{\beta_{m,k,n_g}(t_1 - 1)}{\sum_{k \in K} \beta_{m,k,n_g}(t_1 - 1)},
\]
(16)
\[
\eta_{m,k,n_g}(t_1) = \frac{\beta_{m,k,n_g}(t_1 - 1)}{\sum_{m \in M} \sum_{k \in K} \beta_{m,k,n_g}(t_1 - 1)}.
\]

Hence, the problem for sub-problem 1 can be written as

\[
\min_{\beta(t_1)} \sum_{m \in M} \sum_{g \in \mathcal{G}} \sum_{n_g \in \mathcal{N}_g} \sum_{k \in K} \beta_{m,k,n_g}(t_1) P_{m,k,n_g}(t_1),
\]
(17)
s. to: C1, C2.1 - C2.2, \( \tilde{C}_2.3 - \tilde{C}_2.4, \tilde{C}_3. \)
Now, (17) belongs to GP and can be solved using CVX.

C. Power Minimization Sub-Problem

For given $\beta$, the power allocation problem is

$$\min_{P(t)} \sum_{m \in M} \sum_{g \in G} \sum_{n_g \in N_g} \sum_{k \in K} \beta_{m,k,n_g}(t) P_{m,k,n_g}(t_2)$$

subject to:

$$\bar{C}_3 : \sum_{m \in M} \sum_{k \in K} \sum_{n_g \in N_g} \beta_{m,k,n_g}(t) R_{m,k,n_g}(P(t_2)) \geq R_{gy}^\text{rv},$$

where $t_2$ is the iteration index. Due to interference in the objective function of $R_{m,k,n_g}(P(t_2))$, (18) is a non-convex optimization problem. We again follow the AGMA approach to convert (18) into the equivalent GP problem. First, we rewrite $\bar{C}_3$ as

$$\prod_{m \in M, n_g \in N_g, k \in K} \gamma_{m,k,n_g}(P(t_2)) \leq 2^{-R_{gy}^\text{rv}}, \ \forall g \in G,$$

where

$$\gamma_{m,k,n_g}(P(t_2)) = \frac{\sigma^2 + I_{m,k,n_g}(t_2) + P_{m,k,n_g}(t_2) h_{m,k,n_g}}{\sigma^2 + I_{m,k,n_g}(t_2)},$$

and

$$I_{m,k,n_g}(t_2) = \sum_{m' \neq m} \sum_{n_g \neq n_g} \sum_{m, n_g} P_{m',k,n_g'}(t_2) h_{m,k,n_g'}.$$
gain, there is a higher chance of assigning the sub-carriers with less power while fulfilling the minimum rate constraint per slice. Also, similar to Fig. 1, the total transmit power of the sub-optimal approach is higher than that of Alg. 1 with the difference becoming more distinguishable at higher values of $N$. Moreover, the total transmit power increases with the increase in $R_{sv}^rsv$.

In Fig. 3, the effects of increasing the number of BSs, i.e., $M$, is investigated with $N = 32$. It can be observed that increasing $M$ does not have monotonic effect on the transmit power. For instance, for $R_{sv}^rsv = 3$ and $R_{sv}^rsv = 4$, the total required power at $M = 4$ is lower than that for $M = 9$ and 16. It is mainly because the inter-cell interference will be increased by increasing the number of BSs, which results in higher power requirement. However, large value of $R_{sv}^rsv$ such as $R_{sv}^rsv = 5$ bps/Hz, cannot be attained by $M = 4$. Therefore, the number of BSs should be properly designed for a specific region to reach to an energy efficient and feasible VWN. Further study of this trade-off remains as a future work.

V. Conclusion

In this paper, we consider an energy-efficient resource allocation in an OFDMA multi-cell VWN where users belonging to different slices require a diverse minimum rate reservation. We propose a novel constraint for the joint BS and sub-carrier allocation and convert the highly complex optimization problem into more tractable formulation via CGP and SCA. Simulation results reveal the performance gains of the proposed algorithm with respect to different system parameters and indicate the importance of efficient BS allocation to improve energy efficiency.

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