**Transient flashing propellant flow models to predict internal flow characteristics, spray velocity and aerosol droplet size of a pMDI**

This item was submitted to Loughborough University's Institutional Repository by the/an author.

**Citation:** GAVTASH, B. ... et al, 2017. Transient flashing propellant flow models to predict internal flow characteristics, spray velocity and aerosol droplet size of a pMDI. Aerosol Science and Technology, 51 (5), pp. 564-575.

**Additional Information:**

- This is an Accepted Manuscript of an article published by Taylor & Francis in Aerosol Science and Technology on 13 Jan 2017, available online: http://www.tandfonline.com/10.1080/02786826.2017.1282151.

**Metadata Record:** [https://dspace.lboro.ac.uk/2134/23638](https://dspace.lboro.ac.uk/2134/23638)

**Version:** Accepted for publication

**Publisher:** Taylor & Francis (© 2017 Informa UK Limited)

**Rights:** This work is made available according to the conditions of the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International (CC BY-NC-ND 4.0) licence. Full details of this licence are available at: https://creativecommons.org/licenses/by-nc-nd/4.0/

Please cite the published version.
Transient Flashing Propellant Flow Models to Predict Internal Flow Characteristics, Spray Velocity and Aerosol Droplet Size of a pMDI

B. Gavtash¹, H.K. Versteeg¹, G. Hargrave¹, B. Myatt¹, D. Lewis², T. Church², G. Brambilla³

¹ Wolfson School of Mechanical, Electrical and Manufacturing Engineering, Loughborough University, Loughborough, LE11 3TU, United Kingdom
² Chiesi Limited, Bath Road Industrial Estate, Chippenham, Wilts, SN14 0AB, United Kingdom
³ Chiesi Farmaceutici SpA, Via Palermo, 43122 Parma, Italy

CONTACT: Barzin Gavtash via b.gavtash@lboro.ac.uk - Wolfson School of Mechanical, Electrical and Manufacturing Engineering, Loughborough University, Loughborough, LE11 3TU, United Kingdom

Abstract

Despite of the popularity of the pMDI as an asthma remedy, the mechanism leading to spray generation is elusive, mainly due to small length scales and short time scale, causing experimental difficulties to obtain flow information. This mechanism involves transient development of two-phase flashing propellant flow inside pMDI actuator as well as transfer of heat, mass and momentum between the liquid and vapour phase. Variations in the rate of such interphase phenomena dictate the two-phase mass flow rate emission, which itself determines spray velocity and droplet size. In this work we compare the performance of existing two-phase flow models to predict the flow conditions and the rate of propellant flow through a pMDI actuator: the homogeneous equilibrium model (HEM), the slip equilibrium model (SEM) and the homogeneous frozen model (HFM). The velocity prediction of the HFM was found to be in good agreement with Phase Doppler Anemometry (PDA) data indicating the metastable nature of the emitted propellant spray. This work also considers Clark’s correlation for the aerosol droplet size based on the results of the flow model. The results of the correlation were compared with phase Doppler anemometry (PDA) droplet size measurements. Clark’s correlation was found to be effective in predictions of the temporal droplet size variations. However, the value of an empirical constant had to be tuned to fix the droplet size for a given combination of formulation, device and to a lesser extent also the distance from the spray orifice.
where predictions are compared with PDA data. This highlights the need to develop first principles atomisation models without the need for case-by-case adjustment.

1 Introduction

Pressurised metered dose inhalers (pMDI) are known as the most efficient and widely used aerosol delivery devices in the world to treat asthma (Dolovich & Fink, 2001). Portability, light weight, pocket size in combination with device robustness, ease of operation and high dose numbers are the most notable characteristics, which make pMDIs an attractive choice from the patients' perspective. The pMDI produces a therapeutic aerosol consisting of large numbers of droplets within the respirable size range (below 5 \( \mu \)m), but, despite having been on the market for more than half a century, the droplet generation processes of the device are still poorly understood (Finlay, 2001; Versteeg et al., 2006; Gavtash et al., 2014).

The thermo-fluid dynamics of two-phase flashing propellant inside the twin-orifice system of the pMDI plays a significant role in determining aerosol characteristics such as droplet size and spray velocity. The phenomenological flow model of Fletcher (1975) and Clark (1991), as well as the implementations by Dunbar and Miller (1997) and Ju et al. (2010), have greatly contributed towards the understanding of propellant flow in pMDI twin-orifice systems. The original work of Fletcher and Clark was developed based on two main assumptions: (i) thermodynamic equilibrium in the metering and expansion chambers, and (ii) homogeneous frozen flow through the valve and spray orifices. Fletcher (1975) developed mathematical representations of steady, two-phase propellant flow through twin-orifice systems for continuous discharge. The model was constructed to predict mass flow rate through valve and spray orifices as a function of thermodynamic properties of upstream flow in the chambers and geometric device parameters. These predictions allowed for subsonic and choked discharge conditions. Results showed that the prediction of exit velocity under choked discharge conditions agreed more closely with experimental data, compared with predictions under subcritical conditions. Subsequently, Fletcher’s theoretical model was further developed by Clark (1991) for metered discharge and compared with experiments on pMDIs. Although the outcome of the models was in reasonable general agreement with experimental results, a number of problems remained
unsolved. Firstly, the measured expansion chamber temperature was higher than the saturation temperature corresponding to the measured expansion chamber pressure suggesting that metastability of the propellant played a part. Secondly, the velocity of the plume outside the spray orifice was underestimated (Clark, 1991, pp. 181).

Clark conjectured that the dominant atomisation mechanism of the pMDI was due to aerodynamic forces similar to air-blast atomisation. He proposed that the propellant is pre-atomised into liquid ligaments during its passage from the metering chamber to the expansion chamber. Next, two-phase propellant mixture enters the spray orifice in the form of liquid ligaments and rapidly expanding propellant vapour. The vapour flow squeezes and shears off liquid ligaments from which smaller liquid segments are generated. These exit the spray orifice as small spherical droplets. In air-blast atomisation, the pressure droplet across the atomiser and the gas/liquid ratio are the most significant controlling factors governing the droplet size. Clark (1991) applied this approach to ligament disintegration in pMDIs. He correlated measured droplet size data with the peak expansion chamber pressure $p_{ec}$ and the corresponding vapour quality $x_{ec}$ from his theoretical model to obtain the following empirical correlation for the mass median aerodynamic diameter (MMAD in $\mu$m):

$$MMAD = \frac{C}{x_{ec}^{0.46} \left( \frac{p_{ec} - p_{amb}}{p_{amb}} \right)^{0.56}}$$

where $p_{amb}$ is ambient pressure. Clark reported a good fit with measured data, but different values of the constant $C$ were required for continuous and metered discharge: $C = 8.02$ $\mu$m and 1.82 $\mu$m, respectively. The best fit of data for metered atomisation also needed an additive constant (Clark, 1991, pp. 208), but this was not considered in the work of Clark (1991).

Attention is drawn to the fact that it is possible to measure the peak pressure $p_{ec}$, but the vapour quality $x_{ec}$ in the expansion chamber cannot be measured directly, so equation 1 must be solved using values of these parameters generated by a theoretical model of propellant flow. Accurate models of the two-phase flow are, therefore, essential for the prediction of droplet size with equation 1. The models by Fletcher and Clark assumed homogeneous flow conditions in the spray and valve.
orifices, so vapour and liquid move at the same speed. Dunbar and Miller (1997), on the other hand, assumed that only the vapour phase chokes and the liquid phase was treated as incompressible, which technically was the first implementation of a separated flow model (i.e. a model where vapour and liquid velocities are not equal). This assumption resulted in large spray velocity of order of 200 m/s, which did not match with their PDA measurements. Dunbar and Miller (1997) also examined a wide range of droplet size correlations with good track record in the field of industrial atomisation, but found that Clark’s correlation was the most useful. When it was applied in conjunction with their internal flow model to generate instantaneous droplet size in metered discharge, it was necessary to set $C = 8.02$ in equation 1, in contrast to Clark, who recommended $C = 1.82$ for metered spray events. Dunbar and Miller’s separated flow model was recently extended by Ju et al. (2010) to include the effect of ethanol as co-solvent in propellant. This work also used $C = 8.02$ to predict the size of the spray droplets.

Although the phenomenological model has a good track record, the above assessment of past work suggests the following issues require more detailed study: (i) the most useful combination of thermodynamic and fluid dynamic assumptions for satisfactory prediction of the mass flow rate and spray velocity, (ii) the most appropriate choice for metered pMDI discharge events of the constant $C$ in Clark’s correlation. The aim of this work is to assess the applicability of different numerical models of the propellant flow inside a pMDI actuator with focus on mass flow rate through and fluid conditions in the spray orifice. It should be noted that considerable differences in the predicted flow rate and pressure ratios from various 1-D models of flashing propellant flows have been identified previously (Huff, 1985). Thus, the task at hand is to compare the performance of alternative 1-D models of flashing propellant flow in pMDI applications. Predicted near-orifice spray velocity will be validated against PDA measurement for different propellant systems and actuator geometric properties. Additionally, we investigate the most appropriate choice of constant $C$ in Clark’s correlation for the prediction of instantaneous droplet size during metered pMDI discharge by comparing model results with the temporal PDA measurements of droplet size.
2 Materials and methods

2.1 Conceptual model of flow in pMDIs

Fletcher (1975) and Clark (1991) give a clear conceptual image, which forms the basis of models of propellant flow in twin-orifice systems. Figure 1 (a) shows a cross-section diagram of pMDI, which consists of a canister and actuator. The schematic representation as a twin-orifice system in Figure 1 (b) consists of metering chamber, valve orifice, expansion chamber (volume of valve stem plus actuator sump) and spray orifice.

![Realistic representation of pMDI](image1.png) ![Conceptual diagram of twin-orifice system](image2.png)

Figure 1 Schematics of pMDI system

2.2 General modelling assumptions

Thermodynamic effects are responsible for the flow in this conceptual model. Following Fletcher (1975) and Clark (1991), the modelling assumptions are listed as below:

- One-dimensional flow: only variations of the propellant flow in the flow direction are considered.
- Quasi-steady flow: the flow discharge process through the actuator is assumed to be quasi-steady.
- Adiabatic flow: due to the rapid nature of the metered discharge the residence time of the propellant flow in the spray orifice is too short (order of 10-20 µs)
for significant heat transfer to take place between the actuator walls and the propellant.

- Fluid energy budget: variations in propellant temperature and kinetic energy are due to evaporation and expansion caused by local pressure differences. Any contribution due to surface energy is neglected.
- Gravity: The effect of gravitational force on the propellant flow is neglected in the calculations. Consequently, the orientation of the valve orifice and spray orifice is unimportant.
- Zero velocity inside supply reservoir (chambers): for a typical metering valve geometric parameters, preliminary calculations based on continuity of mass suggest that the velocity inside chambers is approximately 2% of the velocity inside orifices and hence negligible. Moreover, the velocity of the fluid in expansion chamber is vertically downwards; the momentum and energy of this flow stream will be substantially destroyed in turbulence at the bottom of the sump before the fluid collects and flows into the spray orifice. Presence of velocity inside the chambers results in static pressure reduction. In this case, less driving force becomes available to push the flow out of the spray orifice. Therefore the velocity inside the spray orifice should be marginally lower.
- Frictionless flow: the two-phase flow undergoes isentropic expansion inside orifices.
- The fraction of drug in the propellant is very low (typically less than 1% w/w). The model only considers propellant expansion and propellant vapour-liquid interactions.

The model assumes that the two-phase fluid inside the metering and expansion chambers is fully mixed vapour and liquid with negligible velocity. The phases are uniformly distributed and at thermodynamic and thermal equilibrium. As the propellant flows through the orifices, the fraction of liquid reduces inside the metering chamber and initially increases in the expansion chamber and later the liquid fraction reduces again as the propellant runs out. Propellant evaporates and vapour fills the voidage inside the chambers.

Three extreme scenarios of two-phase flow interphase phenomena through orifices are considered, namely, (i) Homogeneous Frozen Model (HFM), (ii) Homogeneous
Equilibrium Model (HEM) and (iii) Slip Equilibrium Model (SEM). These models are schematically shown in figure 2.

![Figure 2 Schematic of two-phase flow models through nozzle](image)

In the HFM and HEM models, the vapour phase and liquid phase are assumed to act as interpenetrating continua and phases travel with the same velocity. In the HFM model, the flow travels from the reservoir to the nozzle exit without mass transfer: no evaporation takes place and hence the mass of vapour is constant during passage of the two-phase mixture through each orifice. The HEM model, on the other hand, assumes that liquid evaporates to reach thermodynamic equilibrium as the fluid expands through an orifice.

The conceptual image associated with the SEM model involves an annular liquid film along the walls of the nozzle surrounding a vapour core. Similar to HEM, the vapour is assumed to be at thermodynamic equilibrium at the nozzle exit. However, the SEM model is a separated flow model; hence, the phases are travelling with different velocities characterised by the velocity slip ratio $S = \frac{V_g}{V_l}$, where $V_g =$ vapour velocity and $V_l =$ liquid velocity.

### 2.3 Mathematical formulations

#### 2.3.1 Mixture properties

In thermodynamic equilibrium, the average specific volume, $\bar{\rho}$ and average specific enthalpy, $\bar{h}$ of a vapour/liquid propellant mixture may be evaluated using equations 2 and 3:
\[ \bar{v} = xv_g + (1 - x)v_l \]  
\[ \bar{h} = xh_g + (1 - x)h_l \]

where subscripts \( g \) and \( l \) denotes gas and liquid phase, respectively. Parameter \( x \) denotes the quality (vapour mass fraction) of the two-phase mixture. Symbols \( v \) and \( h \) represent specific volume and specific enthalpy. Values of these properties for propellants were evaluated with the fluid property database REFPROP V.7.0 (National Institute of Standards and Technology, Gaithersburg, MD, USA).

2.3.2 Fluid state inside metering chamber and expansion chamber

The conditions in the metering chamber and expansion chamber are assumed to be homogeneous and in thermodynamic equilibrium. The thermodynamic state of the two-phase propellant mixture in the chambers is defined by the local quality \( x \) and temperature \( T \). These are related to the average specific volume and average specific enthalpy of the propellant in a chamber using the following equations:

\[ \bar{v} = \frac{m_c}{Vol_c} = v_l(T) + xv_{lg}(T) \]  
\[ \bar{h} = \frac{H_c}{m_c} = h_l(T) + xh_{lg}(T) \]

where \( v_{lg} = v_g - v_l \) and \( h_{lg} = h_g - h_l \) are the specific volume change and specific enthalpy change due to evaporation. Furthermore, propellant mass is denoted by \( m_c \) and propellant enthalpy \( H_c \) in a chamber at any instant of time and \( Vol_c \) is the volume of a chamber.

Since the fluid is assumed to be in thermodynamic equilibrium in the metering and expansion chambers, the thermodynamic properties of the propellant are defined on the saturation line and hence they are unique functions of temperature. Thus, equations 4 (a-b) are a system of two equations with two unknowns: quality \( x \) and temperature \( T \). Elimination of \( x \) from equations 4 (a-b) yields:
\[
\frac{\ddot{v} - v_1(T)}{v_{ig}(T)} - \frac{\ddot{h} - h_1(T)}{h_{ig}(T)} = 0
\]

Equation 5 is a non-linear equation for the instantaneous chamber temperature \( T \), which may be solved using the Newton-Raphson method. When \( T \) is computed, the quality \( x \) in a chamber at that instant can be calculated by substitution of its value in equation 4 (a) or 4 (b).

The instantaneous mass of propellant, \( m_c \) within a chamber is a function of time. As the metered discharge event progresses, the instantaneous mass in a chamber is equal to the difference of mass flowing into and out of a chamber through orifices at any time and may be found using equation 6:

\[
\frac{dm_c}{dt} = \dot{m}_{in,c} - \dot{m}_{out,c}
\]

where, \( \dot{m}_{in,c} \) and \( \dot{m}_{out,c} \) denote the mass flow rate into and out of a chamber, respectively. For the case of metering chamber, the term \( \dot{m}_{in,c} \) is zero as there is no mass flow into this space. Integration of the equation 6 from the start of actuation event \( (t = 0) \), to an intermediate time \( t = \tau \), yields:

\[
m_c(t) = m_c(0) + \int_{t=0}^{t=\tau} \dot{m}_{in,c}(t)dt - \int_{t=0}^{t=\tau} \dot{m}_{out,c}(t)dt
\]

where \( m_c(0) \) is the initial propellant mass in a chamber and \( \dot{m}_{in,c}(t) \) and \( \dot{m}_{out,c}(t) \) are the instantaneous mass flow rates into/out of a chamber, respectively. Similar to the propellant mass in a chamber, the enthalpy of a chamber is also a function of actuation time. The energy conservation equation for a chamber can be written as follows:

\[
\frac{dH_c}{dt} = Vol_c \frac{dp}{dt} + \dot{m}_{in,c}h_{in,c} - \dot{m}_{out,c}h_{out,c}
\]
After integration the chamber enthalpy at any actuation instant can be calculated using equation 9:

\[ H_C(t) = H_C(0) + V ol_C [p(0) - p(t)] + \int_{t=0}^{t=t} h_{in,C}(t) \dot{m}_{in,C}(t) dt - \int_{t=0}^{t=t} h_{out,C}(t) \dot{m}_{out,C}(t) dt \]

where \( H_C(0) \) is the initial enthalpy in the chamber. \( \dot{m}_{in,C}(t) \) and \( \dot{m}_{out,C} \) are the mass flow rate into and out of a chamber, respectively, and \( h_{in,C} \) and \( h_{out,C} \) are the specific enthalpies of the propellant mixture in the chambers. The velocity inside the chamber was assumed to be negligible, hence kinetic energy terms in this region can be disregarded. For the sake of simplicity the Euler forward differencing was chosen to integrate equations 7 and 9. The size of time step \( \Delta t \) used to perform the integrations in equations (7 and 9) was systematically reduced (down to 0.25 ms) to ensure that all results were independent of this parameter.

2.3.3 Flow through valve orifice and spray orifice

In order to evaluate flow changes in chambers, expressions for instantaneous two-phase mass flow rate are required to estimate mass loss from the chambers along the actuation. As propellant travels through orifices the flow regime may be either sub-critical or critical. Critical flow occurs when the velocity of fluid at the exit plane of an orifice reaches the sonic velocity (Hewitt and Hall-Taylor, 1970). Critical flow (also known as choked flow) is a limiting condition of mass flow rate. This condition prevails when the mass flow rate does not increase as the pressure of the downstream environment further decreases, while upstream pressure is fixed.

- **HEM model of orifice flow**

For subcritical discharge through an orifice, neglecting the kinetic energy of the two-phase mixture in the upstream supply reservoir, the mass flux \( G_t \) through an orifice between an upstream and downstream reservoir at known pressures can be calculated as follows:

\[ G_t = C_d \frac{1}{\sqrt{2}} \sqrt{2(h_0 - h)} \]
where $C_d$ is discharge coefficient and subscript 0 denotes stagnation conditions. The method developed by Starkman et al. (1964) is used to determine critical (choked) discharge for a given fluid pressure and temperature in the upstream reservoir. The ratio of downstream and upstream pressures across the orifice is systematically reduced from 1 to 0 in small steps. At each step the mass flux is evaluated and, finally, the maximum mass flow rate is determined, which corresponds to the critical discharge for the given upstream conditions.

- **SEM model of orifice flow**

Using the definition of slip ratio ($S = \frac{V_g}{V_l}$), the following relation for mass flux $G_t$ can be established (Moody, 1965):

$$
G_t = C_d \frac{2(\bar{h}_0 - \bar{h})}{\sqrt{(xv_g + (1-x)v_lS)^2 \left( x + \frac{1-x}{S^2} \right)}}
$$

where $x$ is calculated using equation 12, assuming isentropic development of the two-phase mixture:

$$
x = \frac{\bar{s}_0 - s_l}{\bar{s}_{lg}}
$$

where $s$ is the entropy and $\bar{s}_0$ is the average two-phase entropy inside and at supply reservoir condition. The slip ratio is computed as follows:

$$
S = \left( \frac{V_g}{V_l} \right)^{1/3}
$$

The critical discharge is determined using Starkman’s method of step-wise reduction of pressure ratio across an orifice described for the HEM.

- **HFM model of orifice flow**

In the subcritical discharge regime, the mass flux $G_t$ at the orifice throat (Clark, 1991) is obtained from:
\[
G_t = C_d \frac{p_0}{x_0v_{g,0}} \left[ \frac{2\eta^2}{\left( \frac{1-x_0}{x_0} \frac{v_{l,0}}{v_{g,0}} \right)^2} \right]^{\frac{1}{2}} \left[ \left( 1 - x_0 \right) \left( \frac{v_{l,0}}{v_{g,0}} \right) (1 - \eta) + \frac{\gamma}{\gamma - 1} \left( 1 - \eta \right) \right]
\]

where \( \eta = p_t / p_0 \), represents the ratio between downstream and upstream pressures.

For the case of critical discharge, the throat pressure in equation 14 is no longer equal to the downstream reservoir pressure as the choking pressure or critical pressure, \( p_c \) is generally higher. The critical pressure ratio is denoted by \( \eta_c = p_c / p_0 \). Rather than adopting a search strategy for the maximum mass flux, the HFM iteratively solves equation 15 (a) for critical pressure ratio \( \eta_c \) developed by Fletcher (1975):

\[
\left( \frac{1}{\eta_c} - 1 \right) + X_c \frac{\gamma}{\gamma - 1} \left( \frac{1}{\eta_c^{\frac{1}{\gamma}}} - 1 \right) = \frac{\gamma X_c}{2} \left( \frac{1}{X_c} + 1 \right)^2
\]

where:

\[
\frac{1}{X_c} = \left( \frac{1-x_0}{x_0} \right) \left( \frac{v_{l,0}}{v_{g,0}} \right) \eta_c^{\frac{1}{\gamma}}
\]

Once \( \eta_c \) is known, the critical pressure \( p_c \) can be found and the mass flux \( G_t \) is evaluated using equation 14 with \( \eta = \eta_c \).

### 2.3.4 Near-orifice spray expansion model for critical discharge conditions

As diagrammatically shown in figure S1, in the online supplemental information (see SI, section S1), in choked conditions, the pressure \( p_{so} \) at the spray orifice exit is higher than the downstream atmospheric pressure \( p_{amb} \). The emerging mixture experiences a rapid expansion to the pressure of the surroundings outside the nozzle exit. Fletcher (1975) suggested that this should result in rapid vapour acceleration in the near-orifice region. The velocity increment \( \Delta V \) is computed by means of a 1-D axial momentum balance on the diverging control volume, highlighted in figure S1 (see SI, section S1) by means of a dashed red line:
\[ \Delta V = V_{NO} - V_{SO} = \frac{p_{SO} - p_{amb}}{G_t} \]

In equation 16, subscripts “NO” and “SO” denote near-orifice and spray orifice exit regions, respectively. This equation was derived by Fletcher for continuous discharge, but here it is applied to metered discharge, which is consistent with quasi-steady assumption proposed by Clark (1991).

In equation 16, the average two-phase velocity at spray orifice exit, \( V_{SO} \), is calculated from the continuity equation:

\[ V_{SO} = G_t \bar{v}_t \]

where \( \bar{v}_t \) is the average specific volume of the propellant at the spray orifice throat. Depending on the preferred spray orifice model, \( G_t \) is calculated from equation 10, 11 or 14.

The SEM model computes separate vapour and liquid velocities. In addition to these values, an average propellant velocity is also reported for the SEM. It is computed by assuming that the velocities of both phases are equal at the exit of the near-orifice control volume where the liquid and vapour momentum flows are mixed out. This allows us to predict a maximum value of the SEM droplet velocity.

2.4 Model validation

Results of the numerical models were compared with phase Doppler anemometry (PDA) measurements from Myatt et al. (2015 a-b), acquired at a distance \( z = 15 \) mm from the spray orifice. To add weight to our modelling activity we also compare our modelling outcome against PDA data from Dunbar et al. (1997) (taken at \( z = 25 \) mm) and Wigley et al. (2002) (taken at \( z = 2.6 \) mm). The relevant actuator/test parameters for each PDA study are summarised in tables S1-S3 (see SI, section S2). As regards the last data set (Wigley et al), there are several known limitations in the applications of PDA equipment to regions very close to the spray orifice. In this region, the spray is very dense, which causes problems due to multiple scattering and single particle realisation. Reduced levels of signal validation due to droplet non-sphericity can also be problematic. Two arguments are brought forward in support of the use of Wigley’s
results at $z = 2.6$ mm in the present work: (1) velocity: these were validated with good agreement by repeating the experiments in PDA-mode and LDA-mode; the latter mode of operation is not limited to spherical droplets, (2) droplet size: these measurements are only possible in PDA-mode, however, the results were found to fit into a larger (unpublished) axial survey of aerosol velocity and droplet size up to 100 mm from the spray orifice with the same signatures of temporal distributions replicated in all the datasets and smooth axial variations of mean drop size.

Direct comparison of model predictions against PDA data requires further analysis of the PDA measurements. The aim of the analysis is to develop empirical correlations to capture axial decay rate of spray velocity. The correlations are then implemented to back extrapolate PDA measurements to near-orifice region where the predictions of the internal flow model corresponds to, and hence direct comparison between PDA measurements and model outcomes is achievable. The details of these analyses and the final correlations are presented in section S1 (see SI, section S3).

3 Results and discussion

3.1 Near-orifice velocity predictions

Predictions of the near-orifice plume velocity using the HFM, HEM and SEM models are compared with PDA measurements in Figures 3 and 5. The extrapolated PDA data, which are used for comparison with the results of our numerical models, are shown in the figures by means of the black dashed line. Predictions of alternative orifice flow models are colour coded as shown in the legends.

Figure 3 (a-b) shows that the pattern of the velocity variations with time is reasonably captured by all models. The velocity predictions exhibit an almost instantaneous rise in magnitude during the first time step, corresponding to the initial discharge of high quality vapour/liquid mixture through the spray orifice. After this point, a fall in the velocity is observed as the expansion chamber fills up and evaporation in this space becomes limited. Subsequently, as the expansion chamber empties, more propellant evaporates and the velocity increases almost linearly. This stage corresponds to quasi-steady phase of spray generation where the bulk of therapeutic droplets is produced (Versteeg et al., 2006).
The timing of the velocity minima does not exactly match the PDA curves. This indicates that the flow conditions are not fully established during the initial transient and the early velocity changes may also be affected by the presence of ambient air, which resists the flow motion, during the start-up phase of the actuation. One other possible source of mismatch is PDA measurement location. If the measurement point is situated further from the spray orifice, then there is more time required for droplets to arrive at this point. During this travelling time, droplets transfer momentum to and mix with surrounding gas slowing down the spray. Hence, the PDA curve appears to be stretched in temporal direction. These effects are expected to be minimised as the PDA measurement point is closer to the nozzle exit.

The predicted duration of the spray event varies substantially for the different models for prediction of the mass flow rate. It can be observed that HEM overpredicts near-orifice plume velocity for the entire actuation duration. The vapour phase velocity predicted by SEM is very large compared with the PDA data. These high velocity predictions are linked to the equilibrium assumption, which causes high predicted levels of evaporation inside the spray orifice. It should also be noted that the HEM and SEM predict higher pressure at the exit plane of spray orifice, compared with the spray orifice pressure predicted by HFM. The temporal variation of pressure at spray orifice exit for different orifice flow models is shown in figure 4.
for modelling parameters of table S1, for HFA134a. As the propellant expands to ambient pressure, the driving force for near-orifice acceleration is larger causing a higher near-orifice velocity increment, $\Delta V$, as predicted by equation 16. For the sake of completeness, the corresponding spray orifice exit pressure, for HFA227, for the same modelling case is presented in figure S2 (see SI, section S1).

![Figure 4](image)

**Figure 4** Pressure at the exit plane of spray orifice as function of time for modelling parameters of Table S1 and HFA134a

According to figure 3, it can be seen that the liquid velocity predicted by SEM is below the extrapolated PDA data over the whole actuation event. However, it is interesting to note that the average velocity predicted by this model is reasonably close to the extrapolated measurements. The velocity predictions of HFM, also show good agreement with the extrapolated PDA data for both HFA134a and HFA227. For HFA134a the frozen model outcomes closely follow the PDA trace up to 200 ms and for HFA227 up to around 230 ms. These time points correspond to approximately 95% emission of the original propellant mass. From these instants onwards, the model predictions show a rapid reduction of velocity due to adiabatic cooling of the propellant when the metering chamber is nearly empty, signalling the end of aerosol generation. The velocity decay of PDA curves at the end of the spray event is more gradual and takes place over a longer time scale. The more gradual decay of the measured velocity is probably caused by heat transfer between the actuator and the propellant. This provides the energy source for propellant evaporation, leading to slow emission of the last few percent of propellant remaining in the metering chamber and expansion chamber. The effects of heat transfer are not considered in the present adiabatic model.
Figure 5 Near-orifice prediction of plume velocity using different orifice flow models compared with PDA

Figure 5 (a) shows predicted velocity using different models compared against the PDA measurements of Dunbar et al. (1997). It should be noted that this particular set of data is measured at 25 mm away from spray orifice i.e. $z/D_{so} = 50$. Over this distance plume significantly mixes with entrained air/vapour. Moreover, the PDA data were analysed in time bins of 20 ms. Both these effects would tend to smooth out extrema in the velocity data. Again, the temporal trends of velocity are captured well by all models. It is evident that the velocity predictions of HEM and for the SEM vapour phase are consistently much higher than the extrapolated PDA curve, whereas the SEM liquid phase velocity is below the measured values over the entire actuation event. The prediction of average two-phase velocity by SEM and HFM are again closest to the extrapolated PDA data.

Model predictions are compared directly with the PDA measurements of Wigley et al. (2002) in Figure 5 (b) without velocity extrapolation. The measurements are conducted at 2.6 mm ($z/D_{so} = 6.5$), which is sufficiently close to the spray orifice to be considered as a point in the near-orifice region. Results confirm the previous findings regarding the temporal trends and velocity magnitude predicted by each model. As in the previous cases, the timing of predicted and measured velocity extrema was slightly different, but the differences were much smaller, since the measurement point is very close to spray orifice exit plane. Again, average
two-phase velocity of SEM and HFM are closest to the measured data throughout the key stage of actuation event.

It is interesting to note that Dunbar questioned the existence of two velocity extrema and hypothesised that data dropout in dense spray regions was responsible for the velocity minimum (Dunbar, 1996; sections 3.6.1.1 – 3.6.1.3). However, more recent PIV measurements by Crosland et al. (2009), as well as PDA measurements of Wigley et al. (2002) and those of Myatt et al (2015a-b), support the existence of both velocity extrema.

3.2 Droplet size predictions

Predictions of temporal evolution of spray droplet size were made using a quasi-steady implementation of equation 1 for different modelling cases. In the previous section, two-phase average velocity predicted by SEM, and the HFM velocity, showed best agreement with measured velocities. Hence, these should be used for the internal flow calculations in droplet size predictions.

![Figure 6 Temporal prediction of equation 1 with C=1 for SEM and HFM orifice flow models, corresponding to parameters of Table S1](image)

Figure 6 shows the values of equation 1 as function of time using $C = 1$ and instantaneous values of expansion chamber quality $x_{ec}$ and pressure $p_{ec}$ evaluated with the HFM and SEM models using the test case parameters summarised in table S1. It can be seen that the models predict similar values for the two propellants HFA134a and HFA227 during the key phase of the actuation. The point at which
SEM and HFM curves diverge from one another, is dictated by the predicted mass flow rate, which is slightly higher for SEM. Due to this similarity, the HFM flow model was used, because it showed the best estimates of the temporal distributions of near-orifice velocity and has good track record in predicting mass flow rate of flashing flows in short tubes (Huff, 1985; Sher, 2008; Gavtash et al., 2014). Droplet size values predicted using Clark’s correlation (equation 1) for test case with data summarised in tables S1-S3 are compared with PDA data in figures 7 (a-d).

![Graphs showing prediction of spray droplet size using equation 1, compared with PDA measurements](image)

(a) Myatt et al. (2015 a-b), HFA134a, Table S1  
(b) Myatt et al. (2015 a-b), HFA227, Table S1  
(c) Dunbar et al. (1997), Table S2  
(d) Wigley et al. (2002), Table S3

Figure 7 Prediction of spray droplet size using equation 1, compared with PDA measurements

The value of constant $C$ is adjusted to obtain the best match of the prediction curves with PDA measurement. PDA data is represented using the arithmetic mean diameter, denoted by $D_{10}$, and the Sauter mean diameter, denoted by $D_{32}$ (Lefebvre,
According to figure 7 (a-b), it can be seen that the temporal trend of the predicted droplet size follows more closely the measured trend of $D_{10}$, for both propellants over the duration corresponding to 95% mass emission. This duration is shown with solid line and the remaining 5% propellant emission is shown using dashed lines. During the emission of the last 5% of propellant, the predicted droplet size sharply peaks up to very large values, because the pressure difference $p_{EC} - p_{amb}$ tends to zero causing singular behaviour of the non-dimensional pressure term in the denominator of equation 1. When the pressure of the propellant in the expansion chamber approaches atmospheric pressure, Clark’s correlation becomes invalid.

It can be seen that the initial peak, followed by the gradual decrease in droplet size is well captured by the model. It should be noted that the erratic nature of the measured $D_{32}$ values is associated with unrepresentatively large effect of small numbers of very large spray droplets, resulting in large fluctuations in the temporal trace, sometimes with magnitudes of 10 µm to 20 µm. In spite of this limitation, $D_{32}$ provides a useful upper bound for the sake of an order-of-magnitude comparison with prediction outcomes. It is also worth mentioning that the timing of the peak in the predicted droplet size does not match exactly with the corresponding one for the measured $D_{10}$. The discrepancy was also observed in velocity traces in figure 3 (a-b) and discussed in section 3.1.

The results also show that the predicted droplet size magnitude can be matched with $D_{10}$ or $D_{32}$ by changing the value of constant $C$ from 1 to 3.5, respectively. Slightly smaller $C$ values were required to match the droplet size data for HFA134a, indicating a possible dependence of $C$ on the propellant. The red line corresponds to $C = 1.82$, which is the value proposed by Clark (1991) for MMAD predictions for metered discharge and employed in the theoretical work of Wigley et al. (2002). Predictions with this choice of constant were found to be somewhat closer to the $D_{32}$ values for the above datasets. The results of droplet size are compared against the PDA measurement of Dunbar et al. (1997) in figure 7 (c) and Wigley et al. (2002) in figure 7 (d). The original measurements in figure 7 (c) were reported using volume mean diameter, $D_{30}$, and it was suggested that the measurements reasonably follow a Rosin-Rammler curve with size parameter $\tilde{X}$ ranging from 8-12 µm and distribution
parameter of $\delta = 4.8$ (Dunbar and Miller, 1997). To maintain consistency, here we reconstruct corresponding $D_{10}$ and $D_{32}$ values to compare against modelling prediction, using the relations presented in Bailey et al. (1983) for the Rosin-Rammler distribution. The results in figure 7 (c) show that the pattern of temporal variations of the predicted droplet size is broadly in line with the PDA measurements. Important features of the trace, such as the initial peak followed by gradual size reduction, are observable in both measurement and predictions. As discussed earlier, due to the significant plume/air mixing and the wide time bins, the peak of the droplet size curve is less pronounced. However, this extremum is more clearly observable in figure 7 (d) as the time bins are much shorter and the PDA measurement point is much closer to spray orifice and hence plume/air mixing has not taken place significantly.

Similar to previous case, droplet size magnitude in figure 7 (c) is a function of constant $C$ and it seems like a value ranging from 1.65 to 2.2 is the appropriate choice to this particular formulation and actuator compartment arrangement. In figure 7 (d) which employs HFA227 as propellant, the constant $C$ is adjusted to 1.4 and 2.8 to capture $D_{10}$ and $D_{32}$ traces, respectively. Comparing to $C$ values of the case shown in figure 7 (b) which employs HFA227 as propellant as well, it is concluded that the value of this constant is also a function of location of comparison as well as actuator geometric properties.

4 Conclusion

The lack of a firm basis regarding two-phase flow regime and rates of interphase phenomena inside twin-orifice system of pMDI makes it difficult to be certain about the most relevant combination of thermodynamic and fluid dynamic assumptions for satisfactory prediction of the mass flow rate and spray velocity. This requires assessing the applicability of different two-phase orifice flow models to pMDI devices. With reference to primary atomisation, the only available tool is Clark’s (1991) empirical correlation with a tuneable constant, the value of which requires measurements of the droplet size. In this work we have studied these issues by comparing the spray velocity and droplet size predicted by our pMDI flow models with available PDA measurements in the literature.
Predicted flow velocity in the near-orifice region was directly related to the amount of evaporation assumed by the flow models. The predicted velocities of HEM and SEM vapour were the highest in the near-orifice region, because the choking pressure is predicted to be higher at spray orifice exit for the equilibrium models. This leads to large flow acceleration in near-orifice region. The SEM liquid phase velocity on the other hand was consistently well below the plume velocity. The HFM predicts the lowest near-orifice average two-phase velocity, which was generally closer to the PDA data. The closer agreement of HFM with data may suggest the non-equilibrium nature of the pMDI flow. Fauske (1985) suggested that a length-to-diameter ratio \((L/D)\) around 12-16 is required to approach thermodynamic equilibrium discharge in a pipe flow. For a typical pMDI actuator \(L/D\) is around 2-3, so the propellant will emerge from the valve orifice and spray orifice as a metastable fluid with excess energy. Such excess energy is released outside the spray orifice as the propellant liquid flashes off.

Quasi-steady implementation of Clark’s (1991) correlation with HFM, suggests that the temporal variations of droplet size can be predicted correctly. Comparison with PDA measurements indicates that the constant \(C\) in the correlation should take values in the range of 1-3 to match the values of \(D_{10}\) and \(D_{32}\). Details of the droplet size distribution are needed to connect these parameters with MMAD, which is the diameter metric in Clark’s original correlation. However, for typical distributions (lognormal), MMAD is generally closer to \(D_{32}\).

Finally, we note that inspection of equation 1 shows that the result of the equation is droplet size expressed in units of length (microns). The denominator of equation 1 contains dimensionless variables, so the constant \(C\) must have dimension of length (microns). This work has shown that the constant \(C\) takes different values for different test cases and propellants. The dimensionless numbers in the denominator of Clark’s correlation have values between 0 and 1, so fixing the constant \(C\) in fact roughly fixes the droplet size for a given combination of formulation, device and location of comparison between PDA data and droplet size predictions. Therefore, Clark’s correlation, has limited predictive ability, suggesting the need for development of models, which can predict pMDI atomisation from first principles without the need for case-by-case adjustment.
Acknowledgement

The authors gratefully acknowledge financial support for this research by Chiesi Farmaceutici SpA.

References


Supplemental Information

Transient Flashing Propellant Flow Models to Predict Internal Flow Characteristics, Spray Velocity and Aerosol Droplet Size of a pMDI

B. Gavtash\textsuperscript{1}, H.K. Versteeg\textsuperscript{1}, G. Hargrave\textsuperscript{1}, B. Myatt\textsuperscript{1}, D. Lewis\textsuperscript{2}, T. Church\textsuperscript{2}, G. Brambilla\textsuperscript{3}

\textsuperscript{1} Wolfson School of Mechanical, Electrical and Manufacturing Engineering, Loughborough University, Loughborough, LE11 3TU, United Kingdom
\textsuperscript{2} Chiesi Limited, Bath Road Industrial Estate, Chippenham, Wilts, SN14 0AB, United Kingdom
\textsuperscript{3} Chiesi Farmaceutici SpA, Via Palermo, 43122 Parma, Italy

CONTACT: Barzin Gavtash via b.gavtash@lboro.ac.uk - Wolfson School of Mechanical, Electrical and Manufacturing Engineering, Loughborough University, Loughborough, LE11 3TU, United Kingdom

S1. Supplemental Figures

![Figure S1 Distribution of pressure on emerging spray in near-orifice region](image)

Figure S1: The dashed red line identifies the diverging control volume on which the 1-D axial momentum balance is performed and hence velocity increment of $\Delta V$ is calculated (see section 2.3.4 of the main article).
Figure S2: HEM and SEM predict higher choking pressure at the exit plane of spray orifice where HFM predicts the lowest choking pressure.

S2. Supplemental Tables

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Vol_{mc}$</td>
<td>50 µl</td>
<td>$D_{so}$</td>
<td>0.7 mm</td>
</tr>
<tr>
<td>$Vol_{cc}$</td>
<td>50 µl</td>
<td>$D_{vo}$</td>
<td>0.3 mm</td>
</tr>
<tr>
<td>$C_{d,vo}$</td>
<td>0.6</td>
<td>$C_{d,so}$</td>
<td>0.7</td>
</tr>
<tr>
<td>$T_{amb}$</td>
<td>295 K</td>
<td>Propellant</td>
<td>HFA227</td>
</tr>
</tbody>
</table>

Table S1 Geometric and modelling parameters of the case of Myatt et al. (2015 a-b)
### Table S2 Geometric and modelling parameters of the case of Dunbar et al. (1997)

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$V_{vol_{mc}}$</td>
<td>63 µl</td>
<td>$D_{vo}$</td>
<td>0.7 mm</td>
</tr>
<tr>
<td>$V_{vol_{ec}}$</td>
<td>17.6 µl</td>
<td>$D_{so}$</td>
<td>0.5 mm</td>
</tr>
<tr>
<td>$C_{d,vo}$</td>
<td>0.6</td>
<td>$C_{d,so}$</td>
<td>0.7</td>
</tr>
<tr>
<td>$T_{amb}$</td>
<td>295 K</td>
<td>Propellant</td>
<td>HFA134a</td>
</tr>
</tbody>
</table>

### Table S3 Geometric and modelling parameters of the case of Wigley et al. (2002)

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$V_{vol_{mc}}$</td>
<td>25 µl</td>
<td>$D_{vo}$</td>
<td>0.7 mm</td>
</tr>
<tr>
<td>$V_{vol_{ec}}$</td>
<td>25 µl</td>
<td>$D_{so}$</td>
<td>0.4 mm</td>
</tr>
<tr>
<td>$C_{d,vo}$</td>
<td>0.6</td>
<td>$C_{d,so}$</td>
<td>0.7</td>
</tr>
<tr>
<td>$T_{amb}$</td>
<td>295 K</td>
<td>Propellant</td>
<td>HFA227</td>
</tr>
</tbody>
</table>

### S3. Details of model validation

The internal flow model predicts velocity values in the near-orifice region immediately outside the spray orifice. This is a fictitious point where all superheated HFA has flashed off and the spray has experienced the acceleration due to the velocity increment $\Delta V$ in the near-orifice zone. The phenomenological models evaluated in this work do not contain an account of the axial development of flow in this region. Therefore, the distance, where the HFA flashing and spray acceleration are complete, is unknown. Clark (1991) implies that the relevant changes take place within a short axial distance from the actuator nozzle. As stated above, the PDA measurements of Dunbar et al. (1997) and Myatt et al. (2015 a-b) are located in the far-field spray region ($z/D_{so} \geq 50$). It is then essential to have knowledge of the
spray velocity decay rate with respect to axial distance to extrapolate such PDA results to the near-orifice position corresponding to model prediction.

If the spray plume follows quasi-steady jet/plume relationships (Abramovich, 1976), the velocity would be inversely proportional to the distance from the effective plume source location as described by:

\[
\frac{V_{cl}}{V_{ref}} = \frac{A}{(z - z_s)/D_{so}}
\]  

Where \(V_{cl}\) and \(V_{ref}\) are plume centreline and reference velocity respectively. \(A\) is the model constant and \(D_{so}\) represents the diameter of the spray orifice. Parameter \(z\) is the distance to the spray orifice and \(z_s\) is the distance from the spray orifice exit to the effective jet/plume source location. The nonlinear least squares method using the Levenberg-Marquardt algorithm was implemented in Matlab (Mathworks, Natick, MA, USA) to determine the best-fit model constants \(V_{ref}\), \(A\) and \(z_s\) in equation S1 from axial distributions of plume centreline velocity in the PDA data sets of Dunbar (1996) and Myatt et al. (2015 a-b).

Variation of plume centreline velocity with respect to axial distance from the spray orifice measured by Dunbar (1996) is presented in figure S4 (shown by discrete red square markers). Figure S5 shows the axial spray velocity on the nominal spray orifice axis of a Bespak 630 series actuator using HFA134a and HFA227 as propellants at locations between 5 and 100 mm from the spray orifice from Myatt et al. (2015 a-b).
The best-fit coefficients of equation S1 for these two datasets are summarised in table S4. The $R^2$ values of the best-fit lines were greater than 0.98 for all three cases. In the current validation activity, the near-orifice location of 10 mm from the spray orifice exit plane was chosen for comparison between PDA data and numerical results. This is the closest distance to the spray orifice where velocity values can be measured by PDA with high accuracy, as determined by Myatt et al. (2015 a-b). Closer to the spray orifice, the PDA measurements showed considerable data dropout, which is indicative of dense spray effects, reducing the reliability of the measured values in this region.

<table>
<thead>
<tr>
<th>Data set</th>
<th>Propellant</th>
<th>Coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$A$</td>
</tr>
<tr>
<td>Dunbar (1996)</td>
<td>HFA134a</td>
<td>53.19</td>
</tr>
<tr>
<td>Myatt et al. (2015 a-b)</td>
<td>HFA134a</td>
<td>57.27</td>
</tr>
<tr>
<td>Myatt et al. (2015 a-b)</td>
<td>HFA227</td>
<td>71.56</td>
</tr>
</tbody>
</table>

Table S4 Constants in equation S1 for different PDA cases