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Degradation Studies of Crosslinked Polyethylene. 1. Aged in air

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Abstract

Silane crosslinking of polyethylene, carried out by grafting an organofunctional silane (vinyltrimethoxysilane) onto polyethylene and by subsequent moisture crosslinking in hot water using a tin catalyst, has been widely used in industrial applications because of its advantages in terms of low cost and easy processing. This study focused on the degradation processes which occurred in the material after air ageing in an oven; temperatures ranged from 90 to 220°C, while ageing times ranged from 2h to 500h. Significant structural changes were observed according to the different ageing conditions (below and above the melting region of the material), since the carbonyl group concentration increased substantially during ageing above the melting region and the silicone containing groups were also affected by the degradation. These structural changes affected the mechanical and thermal properties of the material, which was annealed at lower ageing temperatures (up to 155°C) and highly degraded at higher temperatures, when C-C crosslinks formed. Experimental design software was used in order to optimise the number of experimental trials and to model the results obtained; its analysis contributed to the interpretation of the results.

Keywords: PE-crosslink, air ageing, carbonyl ratio, silane, crystallinity.

Introduction

Crosslinking reactions are effective in improving some properties of polyethylene: this drastically improves a large number of low-, ambient- and especially high-temperature properties such as heat deformation, abrasion, viscous deformation, chemical and stress-cracking resistance, impact and tensile strength, and also adds new properties such as shape memory.

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There are a variety of ways to achieve the crosslinking of polyethylene, which has no functional
groups that can provide crosslinking capability, such as those found in typical thermosetting
resins, so that the crosslinking must be induced by the incorporation of another component [1,2].
The most common method is peroxide crosslinking when peroxide crosslinking agents are
added to the resin. A second method of crosslinking polyethylene is through the use of
irradiation, such as electron beam irradiation. The third type of crosslinking is through the
grafting of a silane onto the polyethylene [3,4] and subsequently through the condensation
reaction of the silane graft by moisture (silane crosslinking).

The production of crosslinkable polyethylene through the silane grafting has gained much
attention in recent years because of its various advantages such as low cost and capital
investment, easy processing, and favourable properties in the processed materials.

The most common silane used in the manufacture of silane crosslinkable polyethylene is vinyl
trimethoxysilane (VTMSI), which has conventionally been introduced into polyethylene by melt
grafting using a peroxide as an initiator [3,5]. The silane-grafted polyolefins are still
thermoplastic materials, which are processed in the usual way into finished articles and
subsequently subjected to cross-linking in the presence of water. The alkoxy groups hydrolyse
to form silanol groups which condense to generate the siloxane bonds responsible for the
formation of a three-dimensional network [6-8].

The improvement of thermo-mechanical properties by PE cross-linking [9-11] makes cross-
linked polyethylene very attractive for pipes where applications involve elevated temperatures
and the transport of chemically aggressive media [12-14]. Uhniat and Kudla [15] carried out a
study to evaluate the usefulness of commercial antioxidants in the stabilisation of LDPE
crosslinked in the presence of a peroxide and intended for use at high temperatures (100-130°C).
The kinetic study of oxidation was based on the measurement of the oxidation induction time
(OIT). It was measured by isothermal differential thermal analysis (DTA) using a DSC scanning
mode. The analysis was performed under isothermal conditions in the temperature range 195-
225°C. With phenolic antioxidants a linear relationship exists between the stabilizer concentration and the OIT of polyolefins. Thus, OIT can be used as an analytical method for determining the concentration of sterically hindered phenol [16]. OIT is not however an appropriate method to predict the long-term stability of polyolefins. It can be particularly misleading when used as a screening test to assess the relative performance of stabilizers [17,18]. Those industries such as the pipe and wire and cable industry, which have to guarantee their products for 30 to 50 years lifetime, have specified OIT for quality purposes and screening tests. The validity of the method as an accelerated test to predict behaviour at normal temperatures has always been questioned; extensive studies done with polyolefins for cable insulation have shown that the extrapolation of DSC/DTA OIT data leads to considerable overestimation of lifetime compared with data deduced from oven ageing in an air oven [19]. Experience has shown that the OIT response is a function of the antioxidant used; the differences in stabilizing efficiency as determined by OIT are related to the chemical structure of additives.

In this study silane crosslinked polyethylene used for pipe applications was oven aged; several characterization techniques were used to understand the properties of the material after ageing and oxidation, which were correlated with the structural changes occurring during the degradation process. In a related study crosslinked polyethylene was aged in water, these results are presented in a separate paper [20].

**Experimental**

Strips (17.5cm x 2.7cm x 1.5mm) of silane-grafted polyethylene (ISOPLAS P471+P512/CMB) supplied by MICROPOL Ltd were used for the experimental work: P471 is the graft copolymer made by extrusion compounding, while P512 is the catalyst masterbatch. The material contains a mix of three different antioxidants supplied by CIBA Ltd: a phenolic one acting as a primary
antioxidant and two phosphites acting as secondary antioxidants according to a synergistic mechanism of action.

The samples were conditioned in water at different temperatures and different times in order to obtain a set of crosslinked samples with a range of different gel contents. Conditioning temperature ranged from room temperature to 90°C, while conditioning time ranged from 1h up to 100h. Air ageing experiments on silane crosslinked polyethylene were then carried out in a fan oven (Gallenkamp BS Oven 250). The ageing temperature ranged from 90°C and 220°C while the ageing time ranged from 2h to 500h. ECHIP software for experimental design was used in order to optimise the number of experimental trials to be performed and to model the experimental results obtained. A partial cubic model with two inputs (ageing time and ageing temperature) and three outputs (carbonyl ratio, percentage crystallinity and yield strength) seemed to be the most appropriate to fit the results.

Solvent extraction in boiling xylene was used to measure the percentage crosslinking in the samples. About 0.2 g were cut from crosslinked polyethylene strips and immersed in 100 ml of xylene; the system was refluxed for 19h. After ageing, the samples were refluxed again in boiling xylene, in order to measure the gel content.

Air aged samples were then characterized by using a FTIR spectrometer MATTSON 3000 in order to examine the chemical changes occurring during ageing and oxidation and to monitor the increase of C=O group concentration as a measurement of the extent of oxidation in the samples. 50µm thick films were produced by the use of a microtome, then placed between two sodium chloride discs for the analysis which was carried out in the absorbance mode in the frequency range between 4000-400 cm⁻¹.

A Differential Scanning Calorimeter 2010 supplied by TA Instruments was used to investigate the melting and crystallization behaviour of the aged samples and to monitor any significant changes in the percentage crystallinity; after ageing, the samples were taken out of the oven and immediately analysed by DSC analysis. Samples of about 10 mg were heated up to 170°C at a
rate of 10°C/min, then cooled down to 30°C at 10°C/min and reheated up to 170°C at the same rate, the tests being performed in a nitrogen flow of 50cm³/min. A value of 277.6 J/g was used for the enthalpy of fusion of pure crystalline polyethylene [21].

A set of tensile tests at room temperature on the aged samples was performed using a Hounsfield testing machine: small dumbbells 10 mm long, 2.4 mm wide and 1.57 mm thick were cut and a load cell of 100N and a crosshead speed of 100mm/min were used for the analysis.

Samples before and after ageing were observed using a ZEISS Universal optical microscope with polarized and ordinary light in order to observe how the ageing and degradation process affected the microstructure in the samples; the system was connected to a camera with software for the acquisition and analysis of images.

Results

Solvent extraction

Some results of extraction in boiling xylene carried out on aged crosslinked samples are shown in Table 1; it seems that all the samples aged in air have very high values of gel content, ranging from 76% for samples aged at 133°C for 2h to 91% for samples aged at 220°C for 500h; the initial values of gel contents for these samples before ageing were 72-76 %.

FTIR experiments

The set of ageing experiments suggested by the ECHIP software is shown in Table 2; ageing temperatures ranged from 90 to 220°C while ageing time ranged from 2 h to 500 h; some trials were repeated for consistency.
Significant changes were observed in the FTIR spectra during ageing in the region where the silicone groups absorb (between 800-1200 cm\(^{-1}\)) and in the region of the carbonyl group (about 1700 cm\(^{-1}\)).

The carbonyl ratio was calculated as the ratio between the area of the carbonyl peak and the area of the double peak at about 2920 cm\(^{-1}\) and 2850 cm\(^{-1}\) attributed respectively to the asymmetrical and symmetrical stretching of CH\(_2\) group, and used as a reference peak.

Fig.1 compares the FTIR spectra for unaged crosslinked polyethylene and for crosslinked polyethylene aged in air at 150°C for 53 days. The region where the C=O group absorbs shows a high multiple peak between 1700-1800 cm\(^{-1}\) after ageing. Three different peaks are observed at 1720, 1740 and 1780 cm\(^{-1}\) respectively. An expanded spectrum, fig.2 shows that for an unaged crosslinked sample there is initially one sharp peak at 1091 cm\(^{-1}\) attributed to the Si-O-Si bonds which form due to condensation of the silanol groups [22-24] and one peak at about 1191 cm\(^{-1}\) attributed to Si-O-CH\(_3\) bonds due to silane grafting. Fig. 3 shows that the region of the spectrum where the silicone groups absorb (800-1300 cm\(^{-1}\)) changed significantly after ageing the samples in the oven at 220°C for 500h. The shape of the FTIR spectrum between 1000-1300 cm\(^{-1}\) changes significantly after ageing the samples at 220°C up to 500h in the oven, as additional peaks at frequencies of 1021, 1051, 1072, 1109 cm\(^{-1}\) attributed to different structures forming during the ageing process are observed.

**DSC experiments**

DSC experiments were carried out on the air aged samples to understand their melting and crystallization behaviour; the samples were heated at 10°C/min up to 170°C, then cooled down to 30°C at 10°C/min and finally reheated up to 170°C at the same heating rate. The second heating cycle was carried out in order to eliminate any thermal history; percentage crystallinity was therefore calculated from the enthalpy of fusion values obtained after the second heating. Fig.4 shows the DSC results obtained for samples aged at 90°C for 251h. Fig.5 shows the DSC
results for samples aged at 220°C for 22h: a very low value of percentage crystallinity was obtained, while their melting and crystallization temperatures also decreased compared with the values obtained for unaged crosslinked polyethylene.

*Variation of C=O ratio and percentage crystallinity with ageing conditions*

Fig. 6a and 6b show how the carbonyl ratio changes with ageing temperature and ageing time. The carbonyl ratio has an initial value of about 0.013, then it increases with ageing temperature for an ageing time of 500h, up to a value of 0.14 for samples aged at 220°C. Fig.7 shows that for the same time (500h) percentage crystallinity initially increases with ageing temperature reaching a maximum value of 68% at 155°C, then it decreases significantly to about 25% at 220°C. Fig. 8a shows that for samples aged for 500h percentage crystallinity increases with ageing temperature from 57% to 66%; fig.8b shows that during ageing at 220°C, crystallinity decreases with ageing time from an initial value of 57% to a value of about 25% after ageing for 500h.

Fig.9a shows the experimental conditions at which percentage crystallinity is minimum (ageing T=220°C, ageing time=375h); fig.9b shows the conditions at which the carbonyl ratio reaches its maximum value (ageing temperature=220°C, ageing time=298h).

*Tensile tests*

A set of tensile tests was carried out on the aged samples in order to investigate how the elongation at break at room temperature is affected by the ageing process; results are shown in fig. 10 and table 3.

On increasing the ageing temperature from 90 to 220°C there are significant changes in the shape of the tensile curve, elongation at break at room temperature decreases from 565% for samples aged at 90°C for 500h to a value of 11.5% for samples aged at 220°C for 500h. The
value of yield strength also decreases from 21.8 MPa for samples aged at 90°C for 500h to 10.8 MPa for samples aged at 220°C for the same time.

*Optical microscopy*

The microstructures of some air aged samples were observed using an optical microscope; fig.11 shows the microstructure of a sample aged at 220°C for 334h.

**Discussion**

In order to assess the durability and the performances of the material at elevated temperatures, different techniques were used to characterize samples aged in air for different ageing times and temperatures; comparing the two FTIR spectra shown in fig. 1, it is possible to notice significant changes as the ageing proceeds. The region of the spectrum between 800-1300 cm⁻¹ changes substantially: initially (fig.2) there is one peak at 1090 cm⁻¹, attributed to siloxane bonds in open structures (Si-O-Si) [22-24] which form due to condensation of the silanol groups and one peak at about 1190 cm⁻¹, attributed to Si-O-CH₃ bonds due to silane grafting. After ageing at 220ºC for 500h (fig.3), significant changes in this region of the spectrum are observed, as more peaks form at intermediate frequencies between 1090 and 1020 cm⁻¹, attributed to siloxane bonds in cyclic structures, e.g. five or six membered rings [22]. The region of the spectrum concerning the carbonyl group also changes significantly during air ageing and oxidation. Initially for the unaged samples the peak at about 1740 cm⁻¹ is barely visible (fig.1), as the only carbonyl groups present as ester originate from the phenolic antioxidant, which is present at low concentrations. During ageing at 150ºC (fig.1) this peak starts to split into more peaks and its area increases: the peak at about 1720 cm⁻¹ is attributed to C=O of a ketone forming as the initial stage of oxidation through a radical mechanism via a hydroperoxide precursor [24]. Hydroperoxides are formed
during oxidation of polyolefins. These subsequently decompose by a free-radical mode to produce carbonyl and hydroxyl compounds (equation (1)).

\[
\begin{align*}
\text{H}_2\text{C} &-\text{C} - \text{R'} + \text{ROOH} \rightarrow \text{H}_2\text{C} -\text{C} - \text{OR'} + \text{ROH} \\
\end{align*}
\]

(1)

The peak at about 1740 cm\(^{-1}\) shown in fig.1 is attributed to a vinyl ester structure [23,24] as the oxidation proceeds and finally the peak at about 1780 cm\(^{-1}\), which can be observed for samples aged at 150\(^\circ\)C for 53 days, is attributed to acid or anhydride structures produced at the final stage of oxidation process [23,25]. These structures can undergo decarboxylation as oxidation proceeds: different mechanisms have been proposed [26-28] (equation (2)).

\[
\begin{align*}
\text{H}_2\text{C} -\text{CH}_2 -\text{CH}_2 -\text{COOH} &\rightarrow \text{CH}_2 -\text{CH}_2 -\text{CH}_3 + \text{CO}_2 \\
\end{align*}
\]

(2)

On ageing at temperatures in the melting range of the material (90-150\(^\circ\)C), the system is still semicrystalline; the presence of residual crystals slows the oxygen diffusion into the amorphous phase down, therefore at these temperatures there is not much oxidation, as shown by FTIR analysis, where carbonyl ratio starts to increase after ageing in air at 150\(^\circ\)C for long times. From the DSC analysis carried out on samples aged in air at low ageing temperatures (90-155\(^\circ\)C), percentage crystallinity in the samples is still quite high (about 55\%) and it increases with the ageing time up to 68\% after 500h, as an annealing process occurs (fig.4); there are no significant changes in melting and crystallization temperatures compared with the values obtained for unaged crosslinked polyethylene (T\(_m\) is about 130\(^\circ\)C while T\(_c\) is about 113\(^\circ\)C).

It seems that ageing in air of PEX samples causes C-C crosslinking, since the gel content of the samples increases significantly after ageing at high temperatures for long times (from about 75\% to 90\%) as shown in table 1.
On ageing at temperatures above the melting range of the material (150-220°C), the system is mainly amorphous therefore the oxygen diffusion is accelerated, favoured also by the high temperatures. DSC tests carried out on samples aged at high temperatures showed that percentage crystallinity and melting and crystallization temperatures decrease significantly (fig. 5). Percentage crystallinity decreases because the regular structure is disrupted by the presence of carbonyl groups and C-C crosslinks, since oxidation causes crosslinking. Melting and crystallization temperatures decrease because the crystals which are formed in these conditions have a less regular structure, characterized by surface defects.

The structural differences among samples aged in air at different conditions affect their mechanical properties as observed from the results obtained by performing some tensile tests, where samples aged at low ageing temperatures (90-157°C) show a ductile fracture (fig. 10a) and higher values of elongation at break at room temperature (table 3), similar to the values obtained for unaged crosslinked polyethylene (about 600%); samples aged at high temperatures (157-220°C) show a much more brittle fracture (fig. 10b) and significantly lower values of yield strength and elongation at break. This different behaviour is attributed to the different structure of the material; above the melting region, the system is mainly amorphous and the yield strength of the material decreases as crystallinity decreases; elongation at break decreases due to the increase of C-C crosslinking, which prevents the mobility of chains.

The graphs shown in figs 6-8 are the variations of the outputs of the model with the ageing conditions; figs 6a, 6b and 8a show both the experimental results and the predicted values of the outputs carbonyl ratio and percentage crystallinity, obtained by using ECHIP for certain ageing conditions; the model chosen seems to fit the experimental results quite consistently.

ECHIP analysis of the experimental results obtained, highlighted the ageing conditions at which the outputs of the model reach extreme values (figs 9a and 9b).
The micrograph in fig.11 confirms that the sample aged in air at 220°C for 334h is highly degraded. Its texture is very fine and cracks can be observed at the edge due to the oxidation process.

**Conclusions**
This study performed on air aged silane crosslinked polyethylene highlighted the significant structural changes occurring in the material during the ageing process. At ageing temperatures below the melting point of the material, degradation was not significant and an annealing process occurred, therefore the material still had a ductile behaviour and high values of elongation at break. At ageing temperatures above the melting region, there was a substantial increase in carbonyl concentration and the type of carbonyl containing groups also changed, as a result of the degradation process. Significant changes were also observed in the region where silicone groups absorb, as additional structures such as cyclic siloxane bonds were formed. Simultaneous changes in melting, crystallization behaviour and mechanical properties were observed and a good interpretation of the experimental results was achieved by using the ECHIP software which helped to minimize the number of experimental trials and to correlate the response of the material to the different ageing conditions.

**Acknowledgements**
The authors would like to thank Wavin Industrial Products for the support provided to this work.
References

**Figure captions**

**Fig.1** FTIR spectra of crosslinked polyethylene before ageing and after ageing at 150°C for 53 days

**Fig.2** FTIR spectrum for crosslinked polyethylene from 800-1300 cm\(^{-1}\) before ageing

**Fig.3** FTIR spectrum of sample aged at 220°C for 500h (800-1300 cm\(^{-1}\))

**Fig.4** DSC trace of a sample aged at 90°C for 251h

**Fig.5** DSC trace of a sample aged at 220°C for 22h

**Fig.6a** Variation of carbonyl ratio with ageing temperature (ageing time 500h)

**Fig.6b** Variation of carbonyl ratio with ageing time (ageing temperature 220°C)

**Fig.7** Variation of crystallinity with ageing temperature (ageing time 500h)

**Fig.8a** Variation of crystallinity with ageing time (ageing temperature 90°C)

**Fig.8b** Variation of crystallinity with ageing time (ageing temperature 220°C)

**Fig.9a** ECHIP analysis of ageing results: minimum crystallinity

**Fig.9b** ECHIP analysis of ageing results: maximum carbonyl ratio

**Fig.10a** Stress-strain curve for samples aged at 90°C for 500h

**Fig.10b** Stress-strain curve for samples aged at 220°C for 500h

**Fig.11** Microstructure of a sample aged in air at 220°C for 334h
### Table 1 Gel content of air aged samples

<table>
<thead>
<tr>
<th>Ageing T (°C)</th>
<th>Ageing time (h)</th>
<th>Gel content (%)</th>
</tr>
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<tr>
<td>133</td>
<td>2</td>
<td>76</td>
</tr>
<tr>
<td>90</td>
<td>251</td>
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<tr>
<td>176</td>
<td>2</td>
<td>78</td>
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<tr>
<td>155</td>
<td>500</td>
<td>80</td>
</tr>
<tr>
<td>220</td>
<td>334</td>
<td>90</td>
</tr>
<tr>
<td>220</td>
<td>500</td>
<td>91</td>
</tr>
</tbody>
</table>

### Table 2 Set of experimental trials suggested by the ECHIP software

<table>
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<tr>
<th>Trials</th>
<th>Ageing T (°C)</th>
<th>Ageing time (h)</th>
<th>C=O ratio</th>
<th>%Cryst.</th>
<th>Yield strength (MPa)</th>
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<td>2</td>
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<td>0.015</td>
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<tr>
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<tr>
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<td>334</td>
<td>0.031</td>
<td>67.7</td>
<td>19.3</td>
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</table>
Table 3: Tensile data for samples aged for 500h and samples aged at 220°C for different times

<table>
<thead>
<tr>
<th>Ageing conditions</th>
<th>Yield strength (MPa)</th>
<th>Elongation at break (%)</th>
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</thead>
<tbody>
<tr>
<td>90°C-500h</td>
<td>21.8</td>
<td>565</td>
</tr>
<tr>
<td>155°C-500h</td>
<td>19.6</td>
<td>400</td>
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<tr>
<td>220°C-500h</td>
<td>10.8</td>
<td>11</td>
</tr>
<tr>
<td>220°C-168h</td>
<td>43.3</td>
<td>17</td>
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<tr>
<td>220°C-334h</td>
<td>42.8</td>
<td>14</td>
</tr>
<tr>
<td>220°C-500h</td>
<td>10.8</td>
<td>11</td>
</tr>
</tbody>
</table>

Fig. 1

---

![Absorbance vs Wavenumber](image.png)

**Aged at 150°C - 53 days**

**Not aged**
Fig. 2
Fig. 3
Fig. 5
Fig. 6a

Fig. 6b
Fig. 6b

Fig. 7
Fig. 8a

Fig. 8b
Fig. 9a

Fig. 9b
Fig. 10a

Fig. 10b
Fig. 11