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Attitudes towards earth building for Zambian housing provision

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Zambian cities are experiencing a massive influx of people from rural areas resulting in high demand for housing and the growth of squatter settlements. Insufficient use of low-cost traditional construction techniques in the Zambian residential construction industry has resulted in expensive housing stock for the majority of the poor. There is therefore an urgent need to assess alternative building materials and techniques that are both affordable and sustainable. This research examines the viability of earth as a building material and associated construction techniques for urban housing provision in Zambia.

Attitudes towards earth building among end-users, designers, contractors and government regulators were assessed using quantitative and qualitative research approaches. The study concludes that urban residents associate earth houses with poverty and low socio-cultural status; construction professionals are reluctant to specify and select earth materials due to their technical and performance limitations; and government regulators acknowledged that there are currently no appropriate earth building standards and codes in place. Nevertheless, Zambian designers and contractors expressed their willingness to use the material if its performance is improved. Furthermore, government reported that new codes of practice and standards could be developed if stimulated by research findings.

I. INTRODUCTION

Over recent decades, world awareness of the need for appropriate housing for the poor has grown and has now become a priority of many international non-government organisations (NGOs), charities and governments. However, appropriate construction solutions and the use of readily available traditional building materials such as earth have made no noticeable impact on the housing shortage. Partly as a result, the number of homeless people worldwide passed 100 million.1 Rapid urbanisation and increasing migration from rural areas has led to dramatic transformations of Zambian cities.2 Indeed, Zambia is one of the most urbanised countries in Africa with over 40% of people living in urban areas, of which 74% live in slums.3 The provinces of Lusaka and Copperbelt (Fig. 1) have the largest percentage urban populations (82% and 81% respectively).4,5

According to the last national census conducted in the year 2000, Zambia had a total population of 9.9 million and near 1.8 million existing housing units.6 Conventional houses in Zambian urban areas constitute 64% of total housing units; traditional houses (indigenous to a particular village irrespective of building materials) including earth buildings form 14% of the total and the rest are a mixture of other residential units such as mobile houses and living quarters embedded in residential buildings.7 By contrast, 86% of the rural housing stock is traditional and only 8% is conventional. Overall, in 2000, 62-4% of the total stock were traditional housing units and 30-6% were conventional.8 Furthermore, the average household size in urban areas is 5-5 persons9 and 55% of the population live in one-bedroom housing units.4 This situation has been exacerbated by the continuous massive drift of people from rural areas, resulting in a high demand for urban housing and the growth of squatter settlements in the outskirts of Zambian cities and towns.7 Moreover, housing construction with conventional materials is too expensive for the majority in urban areas where transport amounts to about 40% of the material cost.8

Zambia presents an interesting case study given the urgent need for low-cost urban housing, the historical use of earth building in rural areas, and the lack of dissemination of studies on traditional construction technologies and their potential to deliver affordable and durable housing.9 The need for suitable low-cost housing remains a priority for the Zambian Government.10 The means by which the housing shortage will be alleviated is, however, unclear.

This research investigates the potential of earth building to deliver affordable and durable housing in Zambia with the aim of

(a) examining performance criteria and construction techniques of earth
(b) reviewing earth building initiatives in Africa
(c) capturing end users’ views on living conditions in earth houses
(d) assessing designers’ and contractors’ perceptions and attitudes to earth building
(e) investigating the current Zambian Government’s initiatives and codes of practice to promote the use of earth in the construction industry.
Finally, the paper provides a platform for further research and open debate on earth construction through a set of recommendations.

2. EARTH BUILDING

Earth construction techniques have been known for millennia; even today one third of mankind lives in earth buildings.\textsuperscript{11} In the past, earth was the predominant building material in hot dry climates due to its indoor environmental benefits over conventional building materials such as concrete blocks.\textsuperscript{11} The revival of earth construction in many parts of the world has been encouraged by rising energy costs that impact strongly on building materials such as cement and fired bricks.\textsuperscript{12} Experience has shown that earth remains a viable material, given costly increases in energy consumption caused by the production of modern building materials.\textsuperscript{11,14} Agarwal\textsuperscript{13} and Doat et al.\textsuperscript{15} went further to report that the appropriate use of earth construction produced cost-effective and comfortable buildings. Additionally, several international institutions, such as CRATerre, Earth-Auroville and Cal-Earth, have been created to develop and disseminate knowledge of the use of earth as a building material and associated construction techniques, particularly for housing.\textsuperscript{15–17} These organisations exchange information and experience, raise public awareness of the benefits of this material and inform public authorities of the need to consider and utilise appropriate construction materials and technologies.\textsuperscript{18,19}

2.1. Earth properties and construction techniques

As shown in Table 1, earth has a number of financial and environmental attributes—it is fire resistant, has good thermal and sound insulation properties, balances humidity and absorbs pollutants.\textsuperscript{15,18} Furthermore, Dobson’s\textsuperscript{20} findings, emanating from 11 case studies, showed earth structures have lower...
Earth constructions tend to have low tensile strength (i.e. they are easy to pull apart) meaning that earth roofs are difficult to make, except by using vaults, as the ancient Nubians did. Earth construction also has a tendency to have poor load-bearing capacity making it unsuitable for supporting roofs on large-span buildings. Given its low tensile strength, an earth wall must be thick otherwise it could not remain standing, but would rather lean, bend and collapse. In contrast, walls built of concrete tend to be thin. This is structurally possible since the tensile stresses typical of any concrete wall are taken by steel reinforcements either in the wall itself or, more often, by a framework of reinforced concrete or steel columns and beams within which the wall is simply a thin panel infill. These, however, can prove to be expensive methods of construction. It is interesting to note that wattle and daub is an earth construction technique consisting of a load-bearing structure (commonly a wooden frame in the form of a lattice of wooden poles tied or nailed together) and a support of wickerwork or plaited twigs. Clay soil, mixed with straw, local vegetable fibres or other additives, is then daubed on either side of the laths which act as reinforcement. In many modern earth buildings, stone, timber and/or steel reinforcements have been used in different parts of the buildings, for example in lintels, collar beams, corners and between courses.

Earth construction is mainly used for walling. At least 20 different traditions of earth walling techniques are known, but three predominate—pise or rammed earth, adobe and compressed earth bricks. Building methods are chosen based on climate, ease of use and locally available materials, and the priority given to different factors varies with the socio-cultural structure of the community.

(a) *Pisé de terre* (or rammed earth) consists of earth masonry containing a relatively high proportion of gravel and little additional moisture, rammed manually between two shutters (vertical frameworks) on either side of the wall.

(b) Adobe is a sun-dried mud brick, produced in different shapes and sizes using bottomless wooden moulds and compacted slightly by hand. Adobe’s stability can be enhanced by adding straw, cow dung or even bitumen. The terms sun-dried brick, unburnt brick, unbaked brick, unfired brick, puddled earth, mud wall and mud brick are used by many authors as equivalents to adobe.

(c) Compressed earth brick is the modern version of the unbaked and moulded brick. It uses earth with similar characteristics to those of *pisé* but with higher clay content (up to 25%) and less gravel. Slightly wet soil is compacted in presses of diverse types that vary widely in efficiency. Nevertheless, the process of making compressed earth bricks takes much longer than adobe, remains dependent on expensive equipment and requires delicate handling when cast.

Despite having a long and acceptable history in architecture, many associate earth use with poverty and under-development. Norton goes further, reporting that zoning regulations either indirectly or directly preclude the use of earth for building in many towns and cities in Zambia, making planning permission difficult. Conversely, countries like Australia, New Zealand and the USA have developed new codes and put in place regulations and guidance for earth building. Additionally, recent
research into the use of earth building material is allowing the construction of stronger, versatile, more durable and socially acceptable buildings. It is interesting to note that similar efforts are being invested in the revival of earth building in Africa, as examined in the next section.

2.2. Earth building in Africa

Conventional construction methods, including the use of burnt bricks and cement products such as concrete, have been the recent norm for building worldwide. The same applies to Zambia, yet 80% of Zambia’s rural dwellings are made of unburnt brick and walls are made of sun-dried bricks and wattle and daub, composed of clay-rich soil without straw or fibre reinforcement. Moreover, Denyerv recognised that Zambia has very good soils for building purposes. However, Sojkowski reported that there is a widespread socio-cultural perception in Zambia that modern building techniques and materials are substantially better than traditional ones.

Similar situations exist in other parts of Africa such as Nigeria where, as a result, experiments to improve the durability and affordability of earth building have been undertaken, and earth building has been promoted as an alternative for low-cost housing for the poor. Furthermore, Adam and Agb reported that compressed stabilised earth blocks were successfully used for low-income housing in Sudan. The same study also presented approaches to promoting the use of earth in construction, including advertisements of earth block properties, pilot projects, research and development, training programmes and demonstration projects for home owners. The potential of earth building in Botswana has also been studied in order to develop a suitable material mix for a pressed earth block and forward recommendations on proportions of block mix, mixing methods, stabilisation, strengthening and transportation. The study concluded that further work is required to establish wider usage of earth blocks and encouraged earth block use for housing in Botswana, Namibia and Zimbabwe, which have soils similar to those found in Botswana. A pilot project on earth architecture, undertaken in Uganda to promote traditional earth building, forwarded recommendations to promote training at all levels, carry out pilot and demonstration projects, and undertake research on local construction materials and skills. Uganda has experienced barriers to earth building such as the need for new legislation, technical training, public awareness of sustainability and knowledge sharing.

Based on these experiences, earth building can be promoted as a potential alternative for low-cost and affordable housing in Zambian urban areas. For Zambia to benefit from the experience of other African countries, the perceptions and attitudes towards earth building of Zambian stakeholders (end-users, designers, contractors and government regulators) must be identified. Practical barriers to the implementation and use of earth building should also be identified. This will enable design of a strategy to address social perceptions, improve the technical properties of earth-built structures and develop associated building standards and codes.

3. METHODOLOGY

Quantitative and qualitative research approaches were used in this study as a means of data collection to assess attitudes towards earth building among end-users, designers, contractors and government regulators. Three research methods were adopted.

(a) A case study was carried out to gain insight into users’ views on living conditions in earth houses. Qualitative information was collected through surveys and semi-structured interviews with 20 residents from two selected sites—earth homesteads in Nkana and conventional buildings in the Riverside area of Kitwe (Fig. 1). Kitwe, which includes a number of townships and suburbs including Nkana, is the third-largest town in Zambia. This case study provided the basis for formulation of the questionnaire.

(b) A questionnaire was used to collect data for a baseline overview of the Zambian construction industry’s attitudes to earth construction. Sixty questionnaires were randomly distributed to architects, structural engineers and contractors specialising in housing. The questionnaire was divided into four sections—background information, sustainability within the company, specification/selection of building materials (including earth) and barriers to the widespread practice of earth construction. To address the aims of this paper, the focus of data analysis from the questionnaire results was twofold. Firstly, to capture designers’ and contractors’ perspectives on key design and to formulate acceptable criteria that would influence the specification and selection of earth as a building material and associated construction techniques. Secondly, to assess their views on the limiting factors that hinder the use of earth in the Zambian construction industry. The two key issues were investigated through rating scale questions, calling for informants to assign an appropriate rating using the five-point Likert scale from 1 (lowest level) to 5 (highest level) to reflect their views on the importance of the listed variables.

(c) A semi-structured interview was conducted with the assistant standards inspector from the Zambian Bureau of Standards (ZABS). The aims of this research method were to: establish the Zambian Government’s position on earth construction planning and compliance requirements; examine regulators’ and policy makers’ stand on earth as an alternative building material to alleviate the shortage of housing; and assess government’s role in promoting the use of earth in construction.

The combination of the three methodologies helped reveal a broader view of how earth building is perceived by stakeholders in the Zambian construction industry and identify the hurdles facing its potential use for building developments in urban areas.

4. RESULTS AND ANALYSIS

4.1. End users’ perspectives

It was observed during fieldwork that most traditional houses, mainly in rural areas, were generally rectangular, L-shaped, square or circular. Sun-dried earth bricks, wattle and daub, and clay content soil mortar were the most commonly used materials in the construction of dwellings visited during the case study. In a few cases, cement was added to the mixture to enhance adhesive strength. Internal walls were generally plastered with clay; a variety of tinted finishes were used depending on the colour of clay used (Fig. 2). Externally, cement and sand plaster were also used as rendering finishes and walls were left in grey cement or painted to a colour of the owner’s preference (Fig. 3).
Interviewees reported that their houses had a life span of about 25 to 30 years.

Ten residents living in rural earth-constructed houses were interviewed about five key issues: durability; affordability; living conditions; aesthetics; and their general preference with regard to living in an earth dwelling rather than a ‘modern’ house.

(a) Durability. Half of the interviewees said their houses were durable, lasting more than 20 years; the other half reported a dwelling life span of less than 10 years, requiring regular maintenance. The latter category identified two major problems—rainwater washing away walls and foundations, and termite damage.

(b) Affordability. All interviewees agreed that earth dwellings were very affordable in comparison with houses built with conventional materials.

(c) Living conditions. Eight interviewees acknowledged that living in an earth-built house was very comfortable, offering a very good thermal environment. They went further by stating that a house roofed with thatch is even more comfortable, presenting occupants with a well-humidified and thermally regulated interior. Conversely, the remaining two indicated that their houses tended to be very hot in summer and very cold in winter. This was mainly due to the fact that their houses were roofed with corrugated iron sheets and had no ceiling. Heat transmission was therefore excessive, creating an uncomfortable environment in winter and summer.

(d) Aesthetics. Four interviewees did not like the appearance of earth-built houses; two were indifferent; and the remaining four liked the different colour effects of clay as a façade finish. Furthermore, when plastered with cement sand plaster, paints of various colours could be used, thus giving scope for a range of decorative surface renderings.

(e) General preference. Given financial resources, seven interviewees reported that they would not live in earth houses as these are culturally associated with poverty and low social class. It is interesting to note, however, that a third of residents agreed that they would live in earth houses, provided construction methods and finishes are improved.

Ten people living in urban conventional medium- to high-cost houses in Riverside were asked if they would consider buying or renting an earth house. All interviewees said they would not be willing to live in or own an earth-built house because it was a symbol of low societal status. Additionally, they perceived earth houses as unattractive in appearance and not durable, and concurred that poor design and construction standards are major disincentives.

4.2. Designers’ and building contractors’ perspective

Of the 60 questionnaires circulated to design practices and contracting companies, 22 were completed, representing a response rate of 37%. Responses from the survey were analysed using the Statistical Package for Social Sciences (SPSS) by generating means and frequencies. Analysis of the five-point Likert scale answers was carried out by comparing the means. The majority of respondents said they undertook more residential projects than any other category of building types. Sustainable practices were not very common amongst responding consultants and contractors, and none had a sustainability policy in place. Conventional materials were commonly used, while traditional building materials were scarcely employed. Indeed, 73% of respondents never used earth in their projects. There was, however, a strong indication of potential use in the future, as long as technical weaknesses were adequately addressed. Additionally, the respondents recognised that the government has a major role to play by taking deliberate steps to promote earth construction and regulate its use.

Respondents were asked to rate a range of criteria for potential specification and selection of earth as a building material in their projects against a five-point Likert scale. The results, shown in Fig. 4, indicate that ‘material cost’ was accorded the highest mean importance rating (4.58), followed closely by availability (4.37) and easy workability (4.11).

Informants were asked to rate a number of limiting factors that impede the use of earth in the Zambian construction industry. As shown in Fig. 5, the majority (69%) strongly believed that structural weakness (mean value of 4.50) was the key constraint in specifying earth in their projects, followed closely by lack of interest by clients (mean value of 4.31). Additionally, respondents rated equally (mean value 3.50) lack of technical knowledge on earth construction and the perception of earth as not suitable in upmarket developments as critical barriers. Similarly, poor water resistance and the perception by society of
earth as a sign of unattractive old architecture were seen as serious impediments to its wider use.

Figures 4 and 5 clearly illustrate the challenges faced by earth construction in Zambia. These are valuable pointers to the formulation of recommendations for the construction industry and government.

4.3. Government's perspective

A semi-structured interview, based on the findings of the questionnaire and end users' interviews, was conducted with the assistant standards inspector from the ZABS. The interview protocol included: sustainability and traditional materials; building standards for sun-dried earth; construction planning issues and training; potential of earth building to overcome
housing shortages in urban areas. The results of the interview are summarised below.

(a) Sustainable building is not common practice in the Zambian construction industry. The ZABS official argued that this is probably due to lack of adequate infrastructure, which has not reached a high level and therefore there is a general laxity in the implementation of sustainability.

(b) The ZABS currently has no building standards for earth construction.

(c) There is currently no vocational training on working with earth building materials.

(d) Planning restrictions do not allow the use of ordinary sun-dried bricks in urban areas. It is interesting to note that ZABS considers all settlements built with sun-dried bricks, usually on the outskirts of cities, as squatter settlements or slums and, as such, as illegal developments. However, the ZABS official acknowledged that if earth is stabilised or compressed, the authorities have no objection to its use. On the other hand, he reported that the use of earth is encouraged for safari lodges and similar tourist-oriented structures. Therefore planning authorities have no objection to granting permission for such developments.

5. DISCUSSION

The rapid urban expansion of Zambian cities has led to an alarming growth of slums, where 74% of the urban population live in informal settlements. This proportion is set to increase as the urban population increases, unless critical issues of housing provision are adequately addressed.

A literature review revealed that earth as a building material and associated construction techniques appears to offer a viable alternative that can effectively and cheaply reduce the housing shortage in Zambia’s urban areas. However, a number of barriers need to be addressed before earth building can be embraced by the Zambian construction industry, regulators and end-users.

The case study showed that earth buildings are perceived as not durable and aesthetically unpleasant. Furthermore, they are regarded as a sign of poverty and backwardness. However, earth buildings offer good indoor conditions, maintain pleasant thermal comfort and are affordable by the majority of the poor. Additionally, designers and contractors were reluctant to specify and select earth materials due to their technical problems and performance limitations. Indeed, the use of earth building materials and techniques is not a high priority during design and construction. Nonetheless, there was consensus amongst construction professionals that earth has potential due to its cost effectiveness, availability, ease of workability and positive impact on the environment (particularly its low embodied energy and positive contribution to resource efficiency).

Mususa and Wood9 explored the creation of a sustainable building industry to deliver low-cost urban housing in Lusaka, the capital city of Zambia, by investigating the development approach and main social housing policies implemented in Zambia since 1965.9 They identified ‘dynamic pressures’, that is, governmental modernisation strategies and support for concrete building technology, favouring the use of concrete over more readily available materials such as earth. Likewise, a study by Mukalula57 found that rural communities have used burnt bricks that had been poorly fired and as a result lacked durability; there were no ‘quality monitoring mechanisms’ to ensure sustainable construction and to alleviate poverty by producing decent durable housing. It was recommended that the Zambian Council for Construction should formulate policies for sustainable construction practices.57

The Association of African Universities (AAS)58 carried out research on university–industry relationships and the transfer of appropriate technologies in particular within five African countries including Zambia. Although this AAS study targeted small and medium enterprises (SMEs) and did not consider the construction industry or earth building, it pointed out that Zambia ‘has an explicit policy to promote partnership with SMEs on the one hand and universities and research institutions on the other’. Additionally, it concluded that although there have been some successful university–industry partnerships, links between the leading universities and industry are still weak58 and dissemination of work has not been implemented effectively9 particularly by universities in order, for example, to respond to the needs of SMEs.58 These findings should be taken into consideration for future university–industry partnerships, particularly those targeted at sustainable construction via earth building.

In Zambia there are barriers that impede the use of earth in the construction industry, for example the lack of earth building codes/standards and government initiatives. This was echoed by the findings of Mususa and Wood9 who reported that current building codes and regulations favour conventional methods and actually prevent the use of traditional materials such as earth. Similarly, Tyrell59 argued that, under pressure for modernisation, the Zambian Government has so far neglected the promotion of vernacular construction methods and materials.

Urban residents associated earth houses with poverty and low socio-cultural status. The latter aligns with the findings of Sojkowski33 who revealed that earth materials and techniques are perceived as ‘substandard’ or ‘second class’ while modern construction methods and materials are seen as ‘civilised’ or ‘symbols of affluence’. This would suggest that the role of architects, engineers and building contractors could be very significant in influencing culture change and producing suitable earth-built housing by leading the debate, designing and constructing desirable earth dwellings, and offering confidence to developers and the public. However, the role of government is equally important in developing policies, codes of practice and training programmes to help building designers and contractors use earth materials and building techniques.

6. CONCLUSIONS

Insights into the current Zambian housing situation, assessment of earth properties and construction techniques, and stakeholders’ perceptions and attitudes towards earth building in Zambia have been investigated in this research. Zambia still faces major issues concerned with perceptions and attitudes towards earth building on the one hand, and lack of technical knowledge and building codes on the other.
The majority of interviewees would not consider living in earth houses. A number of social attitudes thus need to be addressed through publicity, public consultations and demonstration projects. Additionally, short courses and workshops would assist designers, contractors and developers to specify and use earth in housing projects. There is also a need for research and implementation of earth design and construction improvement techniques to address the limiting factors of aesthetics, performance and maintenance. Aware of this challenge, ZABS is willing to adopt new codes of practice and standards to promote the use of earth in construction.

Nonetheless, national and international incentives and information sharing are needed to convince all parties of the advantages of earth as a viable building material. Key stakeholders in the Zambian construction industry could benefit from:

(a) the establishment of knowledge-transfer partnerships with countries where earth building is standardised and successfully used
(b) thorough studies on affordability of earth construction
(c) the promotion of research into the design and performance of earth materials and construction techniques
(d) experiments on earth block stabilisation and manufacturing for low-cost housing
(e) the development of earth building codes and standards
(f) the amendments of regulatory procedures to streamline planning permission for earth buildings
(g) the formulation of national strategies to promote and spread the use of earth construction through publicity, research and development, training and pilot projects
(h) mechanisms for the implementation of national strategies, including training in earth construction at all levels (professional and vocational)
(i) the development of sustainability policies to encourage the use of earth as a building material.

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