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Resonant Meta-Surface Superstrate for Single and Multifrequency Dipole Antenna Arrays

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Abstract—The design of a multifrequency dipole antenna array based on a resonant meta-surface superstrate is proposed. The behavior of a single element that is closely placed to a meta-surface is experimentally investigated. The proposed meta-surface is based on resonating unit cells formed by capacitively loaded strips and split ring resonators. By tuning a dipole antenna to the pass band of the meta-surface, the physical area is effectively illuminated enhancing the radiation performance. The gain, radiation efficiency and effective area values of the whole configuration are compared to the ones obtained with a single dipole without superstrate. Radiation efficiency values for the proposed configuration of more than 80% and gain values of more than 4.5 ± 1 dB are obtained. Based on this configuration, simulated results of a multifrequency antenna array are presented. Distinctive features of this configuration are high isolation between elements (20 dB for a distance of \( \lambda_0/4 \)), and low back radiation.

Index Terms—Effective area, meta-surfaces and multifrequency arrays, metamaterials.

I. INTRODUCTION

SINCE THE eighties, the resonance method to improve the gain of printed antennas has been well documented [1]–[3]. This method is based on the addition of a superstrate or cover layer with either \( \varepsilon \gg 1 \) or \( \mu \gg 1 \) over the substrate. By appropriately choosing the layer thickness and the dipole position, relatively large gain may be realized. In [2], [3], the resonance gain conditions and asymptotic formulas for resonance gain, beamwidth and bandwidth (BW) were investigated. In those papers gain and radiation resistance are substantially improved over a significant BW. Nevertheless, these configurations require fairly thick layers, about \( \lambda/2 \) in the media, leading to an overall thickness which could be incompatible with integrated circuit antenna applications in most of the cases. Besides, the BW varies inversely with gain so that a moderate gain limit is established for practical antenna operation and therefore the design becomes more sensitive to the device parameters. Furthermore, the aperture efficiency of those configurations is typically less than 60%.

Recently, several authors have proposed the application of electromagnetic band gap (EBG) structures [4]–[12] as superstrates in order to improve the antenna performances. Typically, an EBG array which consists of dielectric elements and characterized by stop/pass bands is employed as a cover for antennas to enhance the gain of a single patch antenna. The EBG acts equivalent to an aperture antenna and its effective aperture size becomes larger than that the original feeding antenna. The issue of thickness remains as the period of the EBG structure is close to \( \lambda/2 \) and more than one period is needed over the single antenna. However, aperture efficiencies close to 80% are obtained [11], [12]. Frequency selective surfaces (FSS) [13] based on the Fabry-Perot effect [14] have also been proposed as an alternative to dielectric EBGs for gain enhancement. The FSS offers similar transmission and reflection characteristics, but is thinner than the EBG configuration. However, the distance between the FSS superstrate and the ground plane of the antenna, which determines the resonant frequency, still needs to be about \( \lambda_0/2 \) of the resonant frequency of the resonator.

More recent results proposed in [15]–[17] have used either artificial magnetic conductor (AMC) surfaces or metamaterial ground planes (MPG) in combination with partially reflective surfaces (PRS) to design low-profile high-gain planar antennas. The overall thickness of the configuration has been reduced to \( \lambda_0/6 \) and the aperture efficiency rounds to 60%.

In [18], artificial dielectrics realized as arrays of thin conducting wires periodically loaded by reactive impedances (capacitances) are presented. This artificial structure allows the array period to be reduced although the wire medium is restricted to operation at low frequencies. The artificial lens properties of this structure can in principle be electrically regulated by controlling load reactances, with the main advantage of the possibility to realize electrical scanning. However, if only capacitively loaded wires are used in the lattice, manufacturing tolerances remain an issue of concern. This configuration has been proposed to base-station antennas [18].

Recently, metamaterial (MTM) structures have shown their benefits as superstrate of planar antennas [19]–[22]. This paper proposes the use of meta-surfaces on top of dipole antenna arrays in order to improve their radiation performance. For a single element, the main advantages which are derived from this work are the compactness (total thickness less than \( \lambda_0/4 \)), and the high radiation efficiency (around 80%). These
meta-surfaces are based on resonant cells [23]–[25], which exhibit pass band and stop band properties at which the power is transmitted or reflected respectively. Due to their resonant behavior, it is possible to design superstrates resonating at a different frequency resulting in low coupling between them. This fact is used to create a compact multifrequency dipole antenna array with short distance between dipoles, less than 0.3λ0, and good level of isolation.

The first part of this paper, Section II, is focused on an alternative technology of superstrates based on the use of meta-surfaces. The unit cell selected to create the meta-surface superstrate, the manufacturing process and the resonant frequency measured under waveguide excitation are presented. The radiation performance of the superstrate while fed with a dipole is assessed in Section III. Measurements of the S11 parameter, the resonant frequency, the gain and the radiation pattern have been carried out for different geometrical sizes of the superstrate, i.e., varying the number of unit cells. Using these measurements, the radiation efficiency and the effective area have been derived. Moreover, the radiation efficiency has also been obtained independently using the Wheeler cap method and compared with the one obtained by the pattern integration technique. Both results are in good agreement. In Section IV the main advantages of the proposed configuration are stressed and the design of a multifrequency dipole antenna array is outlined. The work is concluded in Section V.

II. META-SURFACE DESIGN

During the recent years, several double negative materials (DNG) have been designed by different authors [23]–[25] several of them based on the topology proposed by [26], i.e., a combination of split ring resonators (SRRs) and wires. In order to correctly excite the “SRR/wire” unit cells, a plane wave with the E-field parallel to the wires and H-field axial to the SRR is required, which means a propagation vector parallel to the SRR plane.

In this work, the meta-surface under study is based on the unit cell proposed by Prof. Ziolkowski in [25]. Although this unit cell presents double negative (DNG) behavior, i.e., negative refractive index, at a certain frequency band, in this paper its resonance transmission effect at the frequency band of positive refractive index is used. Since the role of the DNG behavior is not exploited, the terminology meta-surface will be used. The unit cell is constituted by a dielectric slab in which four capacitive loaded strips (CLSs) and one SRR are embedded (see Fig. 1).

To construct the unit cell, a layer by layer technique described in detail in [19]–[21] is followed. The material selected to fabricate the layers was RT/Duroid 5880, a low loss dielectric characterized by the parameters εr = 2.2, loss tangent tanδ = 0.0009, thickness 0.254 mm and copper cladding 70 μm on both faces. Three different types of layers (1, 2 and 3) were required to create the meta-surface unit cell. One period is constructed (see Fig. 1(b)), by stacking the layers following the pattern 123321.

The transmission and reflection properties of the manufactured meta-surface were tested under waveguide excitation. A media which consists on 12 × 4 unit cells in the transversal directions and 1 in the propagation one was placed in between two WR75 rectangular waveguides (19 × 9.5 mm) (see inset Fig. 2). The measured results are depicted in Fig. 2. Comparing the S21 parameter between waveguides with and without the metamaterial, an enhancement of 8 dB is obtained around the resonant frequency of 10.9 GHz.

III. RADIATION PERFORMANCE

As was mentioned before, this meta-surface is basically a resonant structure exhibiting pass and stop bands. It is formed by a finite periodic repetition of the unit cell. The key idea behind this configuration is to allow radiation from a primary source to spread over a larger radiating aperture. By tuning a dipole antenna to the pass band frequency of the superstrate, an in-phase resonance of the unit cells will be induced leading to a more uniform illumination of the superstrate. So, the radiating effective
area of the antenna will be enlarged. Once the meta-surface was designed, it was fed by an ideal dipole antenna designed with Ansoft-HFSS. Notice that the overall configuration was optimized with Ansoft-HFSS to obtain the best performances; this includes, the distance between the dipole and the meta-surface, the length of the dipole, the number of periods of the meta-surface, etc.

Fig. 3 shows a sketch of the proposed dipole antenna with an uniform superstrate configuration formed by $4 \times 4$ cells in the xy plane and 1 period in the z direction. Electromagnetic symmetry properties were applied in order to reduce the computational time, so only half of the structure is shown in this figure. The thickness of the superstrate in the z direction is 7.366 mm (see Fig. 1 caption), i.e., $I_z = \lambda_0/4$, where $\lambda_0$ is the free space wavelength at the design resonant frequency of 11.15 GHz. The physical orientation of the dipole with respect to the superstrate is fixed by the requirements of an E-field parallel to the CLSs and an H-field axial to the SRRs in order to correctly excite the cells. The dimensions and position of the dipole were optimized by simulating it embedded in a dielectric slab of $\varepsilon_r = 2.2$ and loaded with the superstrate. The optimum parameters were determined by a good matching and gain enhancement. The final dimensions are: width of the arms $= 1.05 \text{ mm}$, length of the arms $= 9.8 \text{ mm}$ and distance from the antenna to the superstrate $= 0.72 \text{ mm}$. The dipole was fed by an ideal lumped port with an optimized impedance of $51.56 \Omega$.

After the simulations, an experimental validation of the results was performed. For practical reasons, a $\lambda_0/2$ dipole without ground plane fed by a coaxial balun was used as feeding source instead of the planar printed dipole. The length of the dipole was chosen according to the optimized results. The radius of the arms was around half of the optimized planar ones taking into account the cylindrical shape. In order to estimate the sensitivity of the configuration to small variations in the width and length of the dipole and the distance from the dipole to the superstrate, a sensitivity analysis was performed. Fig. 4 shows the deviation of the resonant frequency of the dipole with and without superstrate with respect to the optimized configuration when the dimensions of the dipole are slightly modified. It is observed that in the presence of the meta-surface, the system is more stable, with a resonant frequency that remains almost constant ($\Delta f_r < 1\%$). In the absence of the meta-surface, for the same variation of the physical dimensions of the dipole, the deviation of the resonant frequency reaches 6%.

In order to determine the level of the improvements in the radiation performance due to the meta-surface, the $S_{11}$ parameter and the radiation pattern of the dipole plus superstrate were measured and, by means of these results, the gain, radiation efficiency and effective area were derived.

A. $S_{11}$ Parameter

The $S_{11}$ parameter of the dipole with the superstrate was measured by using a network analyzer (Marconi 6210 Reflection Analyzer). Based on the simulation optimization process the dipole was placed just above the superstrate. The set-up for the measurements is shown in Fig. 5.

The influence of the meta-surface in the impedance matching of the dipole was analyzed and measured by varying the number of periods of the superstrate in the x direction from 4 to 12.
The magnitude of the $S_{11}$ parameter versus the frequency for all the measured configurations is shown in Fig. 6. As expected, an impedance matching better than $-12$ dB with a resonant frequency around $11.1$ GHz and a deviation smaller than $3.5\%$ with respect to the central frequency has been obtained.

### B. Resonant Frequency

Fig. 7 shows the dependence of the resonant frequency (minimum $S_{11}$) of the configuration (meta-surface + dipole) and the frequency of maximum gain at boresight with the number of cells of the superstrate. The process of deriving the gain will be explained in the next section. It can be observed that both parameters do not exactly coincide, but there is a slight frequency shift between them. This is attributed to errors in the measurements. However, a similar tendency was obtained in both cases; as the number of cells increases, the resonant frequency decreases varying from $11.19$ GHz in the case of 4 cells to $10.9$ GHz in the case of 12 cells [this agrees with the result obtained with the waveguide measurements (see Fig. 2)]. The resonant frequency curve flattens for larger superstrates since the meta-surface trends to behave as a more uniform media resonating at $10.9$ GHz instead of a set of single scatterers.

### C. Gain

In order to characterize the different configurations in terms of absolute-gain, the two-antenna method described in [27] was followed.

The gain of the radiating configurations was measured in an anechoic chamber and compared with the one of a single dipole by using a horn antenna as receiver and the dipole (with and without superstrate) as transmitter. The superstrate was placed close to the dipole, as in the case of the $S_{11}$ measurements, in order to maximize the power radiated at boresight. The set-up used for these measurements is shown in Fig. 8.

Placing the horn antenna in front of the dipole + superstrate and analyzing the power received $P_R$ versus the frequency (see Fig. 9), a filtering behavior can be observed due to the pass band properties of the superstrate. As in the case of the $S_{11}$, this relation has been parametrically analyzed by varying the number of cells in the $x$ direction from 4 to 12. Similar results to the one presented in the Fig. 9, which corresponds to the case of a superstrate with nine periods, were obtained for all the cases. Notice that in this case (nine periods), the resonant frequency in terms of minimum $S_{11}$ and maximum gain coincides (see Fig. 7). Comparing the power received with the dipole plus superstrate (dashed line) with the case of the single dipole (continuous line), an improvement of $3.5$ dB approximately around the resonant frequency of the superstrate (around $11$ GHz) and a rejection larger than $-10$ dB out this band was achieved. Comparable received and rejected values were obtained for the other configurations.

Measuring the power received $P_R$ at that resonant frequency and taking into account the power transmitted $P_T$, the gain of the receiver horn antenna $G_R$ for each frequency, the distance between antennas $d$ and the working frequency $f_0$, the gain of the transmitting antenna $G_T$ can be calculated by applying the Friis equation as follows:

$$G_T(dB) = 20 \log \left( \frac{4\pi d}{\lambda_0} \right) + P_T - P_T - G_R.$$  \hspace{1cm} (1)

Fig. 10 shows the measured gain in the direction of maximum radiation as function of the number of cells. Taking into account
Fig. 9. Power received $P_T$ versus frequency with and without meta-surface for the case of a superstrate that consists of nine periods.

It is also noticeable that the errors increase when low gain antennas are measured. As it was expected, as the number of cells increases, i.e., the radiating surface increases, the gain increases as well. However, a saturation effect can be observed for superstrates with a number of cells between 6 and 11. The reason for this is the appearance of a standing wave in the transversal direction of the configuration, which limits the maximum size of the structure that achieves large gain.

D. Radiation Pattern

Once the frequency of maximum gain was known (see Fig. 9), the radiation patterns were measured at that frequency. In order to avoid reflections from the clamp and the feeding cable, the back side of the antenna was covered with the absorbing material shown in Fig. 8(b). As it is observed, there is a distance between the absorbing material and the dipole, so the distortion in the endfire radiation at ±90° is minimum. The back radiation pattern (from 90° to 270°) was not measured because of physical limitations in the test set-up (see the back side feeding network and clamp in Fig. 8). However, the maximum back radiation level was estimated by rotating the antenna 90° and measuring the power received at 270°.

Fig. 11 shows the H and E-plane radiation patterns for the case of nine cells. Comparing the radiation patterns with and without superstrate, the gain enhancement can be observed. In the case of the H-plane, the omnidirectional radiation pattern for the single dipole becomes more directive when the superstrate is placed on top of it. The H-plane end-fire radiation has been reduced in approximately 15 dB. The back radiation level at 180° was around −5 dB what means a front-back radiation of 10 dB.

E. Radiation Efficiency

The radiation efficiency $\eta_r$ is defined as the ratio of the total power radiated by the antenna to the total power accepted by the antenna at its terminals during radiation. It can also be defined, as the ratio of the gain to directivity both measured in the direction of maximum radiation (2)

$$\eta_r = \frac{G}{D}. \tag{2}$$

The directivity in the direction of maximum radiation $D$ can be computed (3) by means of the maximum radiation intensity obtained by the measurements $U_{\text{max}}$ and evaluating numerically the power radiated $P_{\text{rad}}$ for all the $\theta$ and $\phi$ angles. To do so, the radiation pattern is integrated by sampling the field in the measured H and E planes [27]

$$D = \frac{4\pi U_{\text{max}}}{P_{\text{rad}}}. \tag{3}$$

Since the back radiation pattern was not measured but only the maximum at 180° (−5 dB), a uniform back radiation of −5
dB was assumed from 90° to 270° in order to not overestimate the radiation performance of the antenna. Although this integration with only two planes gives a rough approximation of the directivity, the result could be considered accurate enough since the radiation patterns are almost symmetrical.

Following this approach, the radiation efficiency has been computed for all the configurations, (see Fig. 13 solid line), taking into account ±1 dB errors in the gain measurements. In the cases of a superstrate with a number of cells from 4 to 10, a flat behavior has been obtained with radiation efficiency values higher than 80%. For superstrates smaller or larger, the radiation efficiency reduces, but it always exceeds 50%.

In order to check that the previous assumptions were correct, the radiation efficiency was also measured by applying the Wheeler cap method [28]. The radiation efficiency is, for this case, defined as

\[
\eta_r = \frac{P_R}{P_I} = \frac{P_R}{P_R + P_L}
\]  

(4)

where \(P_R\) is the total radiated power, \(P_I\) is the total power input, \(P_L\) is the total power lost, which includes ohmic losses in the antenna as well as losses in any matching networks considered as part of the antenna.

An equivalent definition of efficiency is given by

\[
\eta_r = \frac{R_R}{R_R + R_L}
\]  

(5)

where \(R_R\) is the radiation resistance and \(R_L\) is the loss resistance. The quantity \(R_R + R_L\) is the real part of the antenna input impedance and can be determined from the measurements. Wheeler in [28] reports that enclosing the antenna with a conducting sphere a radian length in radius will eliminate \(R_L\) from the input impedance without significantly changing \(R_R\). So, the real part of the input impedance with the sphere in place will be \(R_R\). Thus by making two impedance measurements, without and with the cap, the radiation efficiency can be determined by using (5).

The set-up for the Wheeler cap measurements is shown in Fig. 12. Since a ground plane is required to carry out the measurements, the distance between the ground plane and the dipole was chosen as \(\lambda_0/4\) in order to minimize the distortion to the radiation. The cap was a metallic cylinder whose height was approximately the same as its diameter with a spherical cap on top of it [see Fig. 12(b) and (c)]. This way, no extra modes are generated inside the cap, which means the cap is not interfering [28].

These measurements have been carried out for all the configurations. The results are plotted in Fig. 13 (dashed line) and they are in good agreement with the previous ones calculated by integrating the radiation pattern.

As it was proven in [29], errors around plus or minus 25% can be obtained between the radiation efficiency values when the pattern integration technique is compared with the Wheeler cap method. In order to obtain similar results, identical ground-plane conditions must be kept. In this case, the radiation patterns were measured without ground plane but the Wheeler cap efficiency requires a ground plane. Therefore, slightly different radiation efficiency values have been obtained with both methods. The ground plane effect was also observed in the resonant frequency of the antenna. Although there was 200 MHz frequency shift towards lower frequencies compared to the results presented in Fig. 7, the trend was the same.
Using the single dipole as reference case, the radiation efficiencies obtained were 0.86 and 0.73 with the pattern integration technique and Wheeler cap method respectively. That means an error of around 17% between the two methods, what is within expectations.

F. Effective Area

Once the maximum directivity at boresight $D$ of the configuration is obtained, the effective area of the meta-surface $A_{\text{eff}}$ can be calculated by applying (6)

$$A_{\text{eff}} = \frac{\lambda_0^2}{4\pi} D.$$  

Fig. 14 shows a comparison between the effective area derived from the measurements (taking into account the errors in the measurements of the gain) and the physical area of the meta-surface. When the superstrate is small (4 unit cells; $A_{\text{phy}}$ equal to $0.18\lambda_0^2$), it can be observed that the effective area is larger than the physical one. This is an artefact effect produced by the mathematical definition of the effective area when the radiating surface is not wide enough and there are not metallic walls surrounding the antenna. This is a known phenomena described by Balanis for dipole antennas [27] p. 83. This effect can be explained through the observation of the fringing fields on the edges of the structure (see Fig. 15). However, for larger superstrates (from five to eight cells), the effective area is similar to the physical one, what means that the meta-surface is completely illuminated, i.e., a uniform illumination has been achieved. On the other hand, when the meta-surface becomes very large, the single dipole cannot excite and illuminate the whole superstrate and therefore the effective area becomes smaller than the physical one. In fact, from Fig. 14, it can be observed that the maximum area that the single dipole can illuminate is around $0.3\lambda_0^2$.

Ploting the E field on the H plane of the dipole at the resonant frequency and the Poynting vector on the radiating surface of the superstrate (see Fig. 15) the uniform illumination and the extension beyond the physical geometry of the radiated field can be observed, which is confirming the previous explanation for an effective area larger than the physical one.

IV. Multifrequency Antenna Configuration

The results obtained in the previous sections show that the physical area of the proposed structure can be used very effectively in order to enhance the radiation performance of planar antennas due to the uniform illumination of the superstrate. Other advantages of the configuration are the compactness (total thickness $\approx \lambda_0/4$), the quite symmetrical E and H-plane radiation patterns and reduced back radiation.

On the other hand, it has been observed that the unit cells are highly resonating and the field is confined on the superstrate. Although some fringing fields can appear at the edges of the structure, it is possible to place the same type of unit cell but with a different resonant frequency (same shape but different size) on both sides. In this case both unit cells will be resonating independently. By tuning two dipoles to the corresponding resonant frequency of each group of cells, a multifrequency antenna array (MFAA) can be designed with low coupling between elements.

In order to implement it, the unit cell explained in Section II has been scaled to be working at a higher frequency, around 12.5 GHz. By combining superstrates consisting of low resonant frequency (LRF) unit cells and high resonant frequency (HRF) ones, and tuning dipoles to these frequencies, the MFAA is designed. The MFAA studied is schematically depicted in Fig. 16.

The dipoles 1 are 3 are tuned to the LRF and the dipole 2 to the HRF. Due to the small dimensions of the cells, the dipoles are very close to each other ($0.23\lambda_0$ at LRF; $0.3\lambda_0$ at HRF). This potentially will allow to design compact multifrequency antennas.

By plotting the magnitude of the E-field on the H-plane of the dipoles, the low coupling between dipoles can be easily understood. When the dipoles 1 are 3 are fed [see Fig. 17(a)] the group of cells that are above them confine the power. However, the HRF cells that are in between are reflecting the power because they are not resonating, reducing at the same time the coupling...
between dipoles. When the dipole 2 is active, the opposite effect occurs. Only the HRF cells transmit the power and the LRF ones that are on both sides reflect it [see Fig. 17(b)]. For distance between dipoles around 0.25 \( \lambda_0 \), coupling values of \(-20\) dB have been obtained.

Plotting the H and E-plane radiation patterns (see Fig. 18), the improved performance of this MFAA can be observed. Due to the rather uniform illumination of the radiation surface (see Fig. 17), gain and aperture efficiency enhancement is obtained, keeping the low back radiation and symmetrical radiation patterns.

V. CONCLUSION

In this paper, a novel implementation to enhance the radiation performances of a dipole antenna based on the use of meta-surfaces as superstrate has been presented. A finite uniform meta-surface has been characterized in terms of \( S_{11} \) parameter, gain, radiation pattern, radiation efficiency and effective area. Measurements of different configurations have proven an enhancement of the gain at boresight of about \( 3.5 \pm 1 \) dB with a reduction of the H-plane endfire radiation of about \( 15 \) dB. The radiation efficiency has been calculated by means of the pattern integration technique and Wheeler cap method with efficiency values higher than 80%. For superstrates up to eight cells, the effective area was similar to the physical one, what means a uniform illumination of the meta-surface. For larger superstrates, the effective area does not increase since the maximum area that the dipole can illuminate has been estimated around \( 0.13\lambda_0^2 \). Based on this configuration, a compact multifrequency antenna array has been simulated, showing the gain enhancement and low coupling between elements.

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REFERENCES

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